

SEMINAR ON NON-COMMUTATIVE HODGE STRUCTURES

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Introduction

TOBIAS DYCKERHOFF

Hodge Structures

Let M be a real C^∞ manifold. Then we have $A^k(M)$, the (real) vector spaces of C^∞ k -forms on M . These piece together to form a cochain complex¹:

$$A^\bullet(M) := A^0(M) \xrightarrow{d} A^1(M) \xrightarrow{d} \dots \xrightarrow{d} A^{2n}(M)$$

We then have

Theorem (de Rham). $H^k(A^\bullet(M)) \cong H^k(M, \mathbb{R})$ ²

Now suppose that M has a complex structure J . Let z_1, z_2, \dots, z_n local complex coordinates, with $z_j = x_j + iy_j$. Then we have a decomposition:

$$\begin{aligned} A^1(M) \otimes_{\mathbb{R}} \mathbb{C} &\cong A^{1,0}(M) \oplus A^{0,1}(M) \\ \omega &= \sum f_j dz_j + \sum g_j d\bar{z}_j \end{aligned}$$

or, more generally:

$$A^k(M) \otimes_{\mathbb{R}} \mathbb{C} \cong \bigoplus_{p+q=k} A^{p,q}(M)$$

Hodge theory asks (and) answers the fundamental question:

DOES THIS DECOMPOSITION DESCEND TO COHOMOLOGY?

Definition. $H^{p,q} \subseteq H^k(A^\bullet(M) \otimes_{\mathbb{R}} \mathbb{C})$ is the subspace given by classes represented by closed (p, q) -forms³

Theorem (Hodge). Assume M is a compact Kähler⁴ manifold.

Then

$$H^k(M, \mathbb{C}) \cong \bigoplus_{p+q=k} H^{p,q}(M)$$

Further, we have that $\overline{H^{p,q}} = H^{q,p}$. This leads us to the *Hodge Diamond*, a way to codify the symmetries of the cohomology of Kähler manifolds.

¹The *de Rham Complex*, where d denotes the exterior derivative. We take $\dim(M) = 2n$ for agreement with the complex case.

²Where $H^k(M, \mathbb{R})$ is the standard singular homology. In some sense the de Rham theorem states that an analytically defined chain complex yields a purely topological invariant.

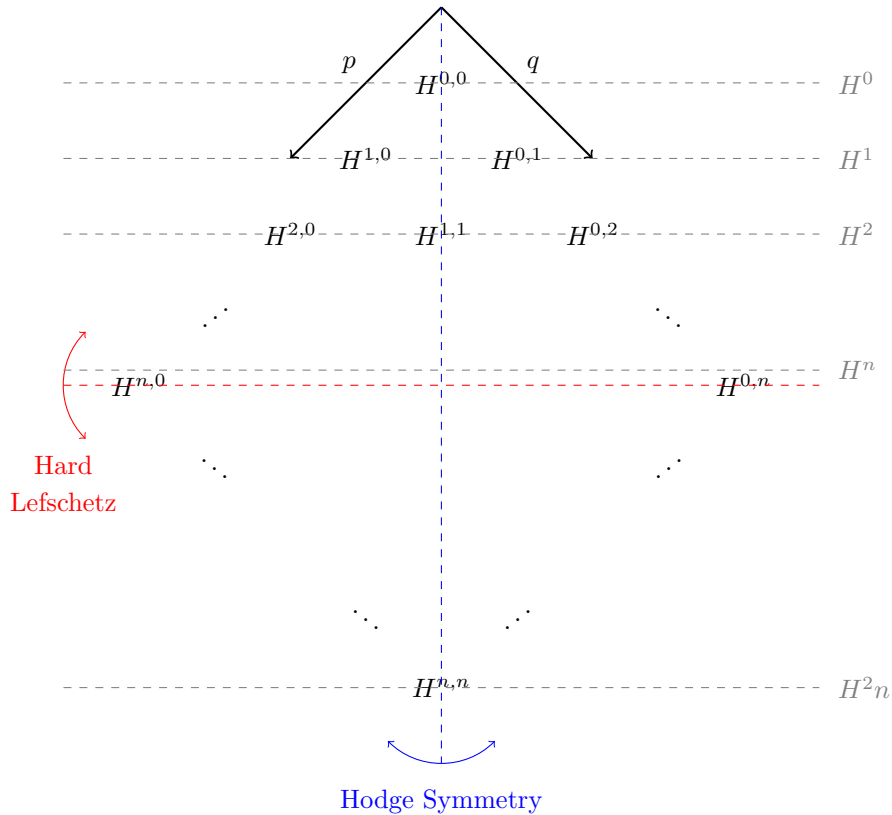
³Naïvely, one might expect that, in general

$$H^k(M, \mathbb{C}) \cong \bigoplus_{p+q=k} H^{p,q}(M)$$

but this is not generally true.

⁴That is, M is equipped with a complex structure J , a symplectic structure ω , and a Riemannian metric g such that

$$g(X, Y) = \omega(X, JY)$$



Application. M compact Kähler. Then the odd Betti numbers are even. For example, $S^1 \times S^3$ cannot be Kähler.

Definition (1). A *Hodge structure of weight k* consists of a \mathbb{Q} -vector space $H_{\mathbb{Q}}$ together with a decomposition

$$H := H_{\mathbb{Q}} \otimes_{\mathbb{Q}} \mathbb{C} \cong \bigoplus_{p+q=k} H^{p,q}$$

such that $\overline{H^{p,q}} = H^{q,p}$.

or, alternatively

Definition. A *Hodge structure of weight k* consists of a \mathbb{Q} -vector space $H_{\mathbb{Q}}$ ⁵ together with a finite decreasing filtration $F^{\bullet} H_{\mathbb{Q}} \otimes_{\mathbb{Q}} \mathbb{C}$ ⁶

$$\dots \subset F^p \subset F^{p+1} \subset \dots$$

such that for all p, q such that $p + q = k + 1$, we have

- $F^p H \cap F^q H = \{0\}$
- $F^p H \oplus F^q H = H$

⁵ Called the *rational lattice*.

⁶ Called the *Hodge filtration*.

To see that (1) \Rightarrow (2), simply set

$$F^p H = \bigoplus_{1 \geq p} H^{i, k-i}$$

. To see the reverse implication, set

$$H^{p,q} := F^p H \cap \overline{F^q H}$$

Hodge Theory from the Algebraic Perspective

Fact: Every smooth projective variety X/\mathbb{C} is a compact Kähler manifold.

GROTHENDIECK: The Hodge filtration can be constructed in an intrinsically algebraic way. There is an *algebraic de Rham complex*⁷:

$$\Omega_X^\bullet := \Omega_X^0 \rightarrow \Omega_X^1 \rightarrow \cdots \rightarrow \Omega_X^n$$

⁷ Which is, in fact, a complex of coherent sheaves on X .

Theorem (Grothendieck). *The hypercohomology⁸ of the algebraic de Rham complex satisfies:*

$$\mathbb{H}^k(\Omega_X^\bullet) \cong H^k(X(\mathbb{C}), \mathbb{C})$$

⁸ The hypercohomology is defined as the derived local section functor

$$\mathbb{H}^k(\Omega_X^\bullet) := R^k \Gamma_X(\Omega_X^\bullet)$$

The complex Ω_X^\bullet has a filtration

$$F^p \Omega_X^\bullet = 0 \rightarrow \cdots \rightarrow 0 \rightarrow \Omega_X^p \rightarrow \cdots \rightarrow \Omega_X^n$$

Which induces a filtration on $\mathbb{H}^k(\Omega_X^\bullet)$ ⁹ And a corresponding spectral sequence (the so-called *Hodge to de Rham* spectral sequence):

$$E_2^{p,q} = H^q(X, \Omega_X^p) \Rightarrow \mathbb{H}^{p+q}(\Omega_X^\bullet)$$

⁹ This is an elementary fact from homological algebra. See eg Weibel.

Question (Grothendieck). Can we prove, *purely algebraically*, that this spectral sequence degenerates?

Answer (Deligne-Illusie). Yes!

Non-Commutative Geometry

Let \mathcal{A} be a dg category. Then we get invariants

- Hochschild homology $HH_*(\mathcal{A})$
- Periodic Cyclic Homology $HP_*(\mathcal{A})$
- Spectral sequence $HH_*(\mathcal{A}) \Rightarrow HP_*(\mathcal{A})$

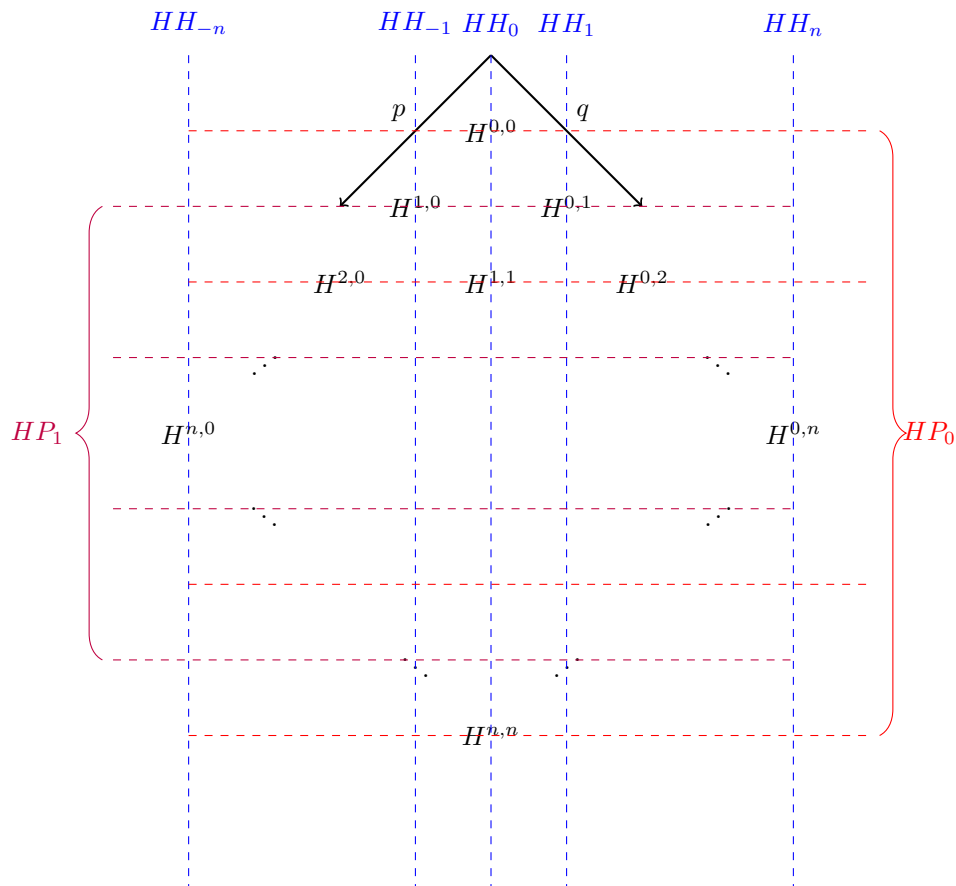
Example (Generalized Hochschild-Kostent-Rosenberg). Let X be a smooth projective variety over \mathbb{C} .

$$HH_k(\text{Perf}_X) \cong \bigoplus_{p-q=k} H^q(X, \Omega^p)$$

$$HP_0(\text{Perf}_X) \cong \bigoplus_{k \text{ even}} H^k(X(\mathbb{C}), \mathbb{C})$$

$$HP_1(\text{Perf}_X) \cong \bigoplus_{k \text{ odd}} H^k(X(\mathbb{C}), \mathbb{C})$$

So we have something like a generalization of the Hodge diamond:



Additionally, we have a spectral sequence

$$HH_* \Rightarrow HP_*$$

which in some sense recovers the Hodge to de Rham spectral sequence.

Question (For the seminar). Can we define Non-Commutative Hodge Structures for suitable dg-categories not necessarily of the form Perf_X ?

- Can we find a Hodge Filtration?
- Can we find a rational lattice?

HKR for Rings

WALKER STERN

Hochschild Homology and Variants

Let k be a commutative ring, and A be a unital associative algebra projective over k .

Definition. The *Hochschild Homology of A* , $HH_*(A)$, is¹⁰

$$\mathrm{Tor}_*^{A^e}(A, A)$$

To relate this definition to an explicit chain complex, we take the *bar resolution of A* :

$$\underbrace{\dots \rightarrow A^{\otimes 3} \xrightarrow{b'} A^{\otimes 2}}_{C_*^{bar}(A)} \xrightarrow{b'} A$$

With the differential b' given explicitly as¹¹

$$b' = \sum_{i=0}^{n-1} (-1)^i d_i$$

Tensoring A with the bar resolution, we get a chain complex that computes the Hochschild Homology of A : The *Hochschild Chain Complex*.

$$C_*(A) := \dots \rightarrow A^{\otimes 3} \xrightarrow{b} A^{\otimes 2} \xrightarrow{b} A$$

where

$$b = \sum_{i=0}^n (-1)^i d_i$$

On this chain complex, there is a cyclic action $t : C_n(A) \rightarrow C_n(A)$

$$t(a_0, a_1, \dots, a_n) = (-1)^n (a_n, a_0, a_1, \dots, a_{n-1})$$

The norm of this action is

$$N = \sum_{i=0}^n t^i$$

¹⁰ Where

$$A^e = A \otimes_k A^{op}$$

is the universal enveloping algebra of A .

¹¹ The d_i come from the standard simplicial structure on $C_*^{bar}(A)$, and are given explicitly by

$$d_i(a_0, a_1, \dots, a_n) = \begin{cases} (a_0, \dots, a_i a_{i+1}, \dots, a_n) & 0 \leq i < n \\ (a_n a_0, a_1, \dots, a_{n-1}) & i = n \end{cases}$$

Additionally, there is a map¹²:

$$s : A^{\otimes n} \rightarrow A^{\otimes n+1}$$

$$(a_1, \dots, a_n) \mapsto (1, a_1, \dots, a_n)$$

From these operators, we can define *Connes B operator*:

$$B = (1 - t)sN$$

Remark. The *B operator* has the explicit form

$$B(a_0, \dots, a_n) = \sum_{i=0}^n [(-1)^{ni}(1, a_i, \dots, a_n, a_0, \dots, a_{i-1})$$

$$- (-1)^{ni}(a_i, 1, \dots, a_n, a_0, \dots, a_{i-1})]$$

has degree 1, and satisfies the identities

$$B^2 = \{B, b\} = Bb + bB = 1$$

If we take the differential graded algebra $k[\epsilon]$, where $\epsilon^2 = 0$ and $|\epsilon| = 1$, then the *B operator* turns $C_*(A)$ into a graded $k[\epsilon]$ -module¹³ under the assignment

$$\epsilon \mapsto B$$

Definition. The *Cyclic Homology* of A is¹⁴

$$HC_* = \text{Tor}_*^{k[\epsilon]}(k, C_*(A))$$

The *Negative Cyclic Homology* of A is

$$HC_*^- = \text{Ext}_{k[\epsilon]}^*(k, C_*(A))$$

We can specify a $k[\epsilon]$ -free resolution for k to compute explicit chain complexes for (negative) cyclic homology:

$$\underbrace{\dots \rightarrow k[\epsilon][-2] \xrightarrow{\epsilon} k[\epsilon][-1] \xrightarrow{\epsilon} k[\epsilon]}_{L_\bullet} \rightarrow k$$

More precisely:

$$\begin{array}{ccccccc} & & \vdots & & \vdots & & \vdots \\ & & \downarrow & & \downarrow & & \downarrow \\ \dots & \longrightarrow & k\epsilon & \xrightarrow{\epsilon} & 0 & \longrightarrow & 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ \dots & \longrightarrow & k & \xrightarrow{\epsilon} & k\epsilon & \xrightarrow{\epsilon} & 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ \dots & \longrightarrow & 0 & \longrightarrow & k & \longrightarrow & k \\ & & \downarrow & & \downarrow & & \downarrow \\ & & \vdots & & \vdots & & \vdots \end{array}$$

¹² Both this s map and the cyclic action are contained in the notion of a *cyclic object*, which is an extension of the notion of a simplicial object. See, eg [4], Ch. 6.

¹³ This is equivalent to the notion of a *mixed complex* found in the literature

¹⁴ Note that, throughout this definition, k represents the graded $k[\epsilon]$ -module concentrated in degree 0.

$L_\bullet \otimes_{k[\epsilon]} C_*(A)$ then yields a double complex whose total complex computes $HC_*(A)$:

$$\begin{array}{ccccccc}
 & \vdots & & \vdots & & \vdots & \\
 & \downarrow b & & \downarrow b & & \downarrow b & \\
 & A^{\otimes 3} & \xleftarrow{B} & A^{\otimes 2} & \xleftarrow{B} & A & \cdots \\
 & \downarrow b & & \downarrow b & & & \\
 & A^{\otimes 2} & \xleftarrow{B} & A & & & \\
 & \downarrow b & & & & & \\
 & A & & & & &
 \end{array}$$

We call the resulting complex the *cyclic chain complex of A*, and write¹⁵:

$$CC_*(A) := \text{Tot} (L_\bullet \otimes_{k[\epsilon]} C_*(A))$$

Similarly, we find that $\text{Hom}_{k[\epsilon]}(L_\bullet, C_*(A))$ gives a double complex whose total complex computes $HC_*^-(A)$.

$$\begin{array}{ccccccc}
 & \vdots & & \vdots & & \vdots & \\
 & \downarrow b & & \downarrow b & & \downarrow b & \\
 \cdots & \xleftarrow{B} & A^{\otimes 4} & \xleftarrow{B} & A^{\otimes 3} & \xleftarrow{B} & A^{\otimes 2} \\
 & \downarrow b & & \downarrow b & & \downarrow b & \\
 \cdots & \xleftarrow{B} & A^{\otimes 3} & \xleftarrow{B} & A^{\otimes 2} & \xleftarrow{B} & A \\
 & \downarrow b & & \downarrow b & & \downarrow b & \\
 \cdots & \xleftarrow{B} & A^{\otimes 2} & \xleftarrow{B} & A & \xleftarrow{B} & 0 \\
 & \downarrow b & & \downarrow b & & & \\
 \cdots & \xleftarrow{B} & A & \xleftarrow{B} & 0 & & \\
 & \downarrow b & & & & & \\
 & 0 & & & & &
 \end{array}$$

We call the total complex¹⁶ the *Negative cyclic chain complex of A*, and write

$$CC_*^-(A) := \text{Tot} (\text{Hom}_{k[\epsilon]}(L_\bullet, C_*(A)))$$

As an analogy to better understand (negative) cyclic homology, we can consider the case of group (co)homology¹⁷:

¹⁵ Notice that the cyclic chain complex can also be represented in a much more compact form as a polynomial algebra over $C_*(A)$:

$$CC_*(A) \cong (C_*(A)[u^{-1}], b + Bu)$$

where $|u| = -2$.

¹⁶ As before, there is an expression in terms of polynomials in u . In this case, though, it is important that we are taking the direct product total complex, so that we get

$$CC_*^-(A) \cong (C_*(A)[[u]], b + Bu)$$

¹⁷ The circle action in the right hand column cannot be fully explained here, as it requires ∞ -categorical notions to make fully accurate. For a more complete exposition, see [5].

GROUP HOMOLOGY	CYCLIC HOMOLOGY
G a group, k a field	A a k -algebra, k a field
$G \curvearrowright M \in \text{Vect}_k$	$S^1 \curvearrowright (C_*(A), b)$
↓	↓
$C_*^{cell}(G) = kG$ when G is treated as a discrete topological group, giving the induced action	$C_*^{cell}(S^1) = k[\epsilon]$, giving the induced action (precisely the action described above)
$kG \curvearrowright M$	$k[\epsilon] \curvearrowright (C_*(A), b)$
↓	↓
$k \otimes_{kG}^L M = M_{hG}$ 'homotopy coinvariants'	$k \otimes_{k[\epsilon]} C_*(A) = C_*(A)_{hS^1}$
$\text{RHom}_{kG}(k, M) = M^{hG}$ 'homotopy invariants'	$\text{RHom}_{k[\epsilon]}(k, C_*(A)) = C_*(A)^{hS^1}$

When A is commutative, we also get a product on Hochschild homology. It is induced by the *shuffle product* on $C_*(A)$

$$- \times - = sh_{p,q} : C_p(A) \otimes C_q(A) \rightarrow C_{p+q}(A \otimes A)$$

$$(a_0, \dots, a_p) \times (a'_0, \dots, a'_q) = \sum_{\sigma \in \text{Sh}(p,q)} \text{sgn}(\sigma) \sigma.(a_0 \otimes a'_0, a_1 \otimes 1, \dots, a_p \otimes 1, 1 \otimes a'_1, \dots, 1 \otimes a'_q)$$

where $\text{Sh}(p, q)$ is the set of p, q -shuffles¹⁸.

Lemma. $- \times -$ satisfies graded Leibnitz rule, that is,

$$b(x \times y) = b(x) \times y + (-1)^{|x|} x \times b(y)$$

for all $x, y \in C_*(A)$.

Sketch of proof. Let

$$x \times y = \sum \pm(c_0, c_1, \dots, c_{p+q})$$

and consider sets

$$X := \{a_1 \otimes 1, \dots, a_p \otimes 1\}$$

$$Y := \{1 \otimes a'_1, \dots, 1 \otimes a'_q\}$$

where $x = (a_0, a_1, \dots, a_p)$ and $y = (a'_0, \dots, a'_q)$.

Now, given an element (c_0, \dots, c_{p+q}) in the above sum, notice that if c_i, c_{i+1} are both in X (resp Y), then $d_i(c_0, \dots, c_{p+q})$ is a summand of $b(x) \times y$ (resp. $x \times b(y)$). If c_i, c_j are in different sets, then $(c_0, \dots, c_{i+1}, c_i, \dots, c_{p+q})$ is still a shuffle, and appears with opposite sign. Since A is commutative, we then see that

$$d_i(c_0, \dots, c_{i+1}, c_i, \dots, c_{p+q}) = d_i(c_0, \dots, c_{p+q})$$

so that the terms in the differential cancel. The rest of the proof amounts to checking signs. \square

¹⁸ A p, q -shuffle is a permutation with preserves the ordering of the first p elements it acts on, and of the last q elements it acts on. More intuitively, it is any permutation that can be obtained by shuffling once a deck of cards that has been divided into two parts. The action of the symmetric group on an element $(c_0, c_1, \dots, c_{p+q}) \in C_*(A \otimes A)$ used in the definition is given by

$$\sigma.(c_0, c_1, \dots, c_{p+q}) = (c_0, c_{\sigma^{-1}(1)}, \dots, c_{\sigma^{-1}(p+q)})$$

We then look at the product

$$\mu : A \otimes A \rightarrow A$$

which induces

$$\mu : C_*(A \otimes A) \rightarrow C_*(A)$$

So we are left with a product

$$- \times - : C_p(A) \otimes C_q(A) \rightarrow C_{p+q}(A)$$

Which, by the lemma, descends to Hochschild Homology. More precisely

Theorem. *The product*

$$- \times - : HH_*(A) \otimes HH_*(A) \rightarrow HH_*(A)$$

equips $HH_(A)$ with the structure of a graded-commutative algebra.*

Differential forms

Lemma. *Let A be unital and commutative¹⁹. There is a canonical isomorphism*

$$HH_1(A) \cong \Omega_{A|k}^1$$

from Hochschild Homology to Kähler differentials²⁰

Proof. A commutative implies $b : A \otimes A \rightarrow A$ trivial. The image of $b : A^{\otimes 3} \rightarrow A^{\otimes 2}$ is

$$K = \langle xy \otimes z - x \otimes yz + zx \otimes y \rangle$$

It is then clear that the maps

$$\begin{aligned} [a \otimes b] &\mapsto a db \\ a db &\mapsto [a \otimes b] \end{aligned}$$

are well-defined inverse module homomorphisms

$$A \otimes A / K \leftrightarrow \Omega_{A|k}^1$$

□

The shuffle product gives us a map

$$\Omega_{A|k}^1 \otimes \Omega_{A|k}^1 \rightarrow HH_2(A)$$

which factors as

$$\Omega_{A|k}^1 \otimes \Omega_{A|k}^1 \rightarrow \bigwedge^2 \Omega_{A|k}^1 = \Omega_{A|k}^2 \rightarrow HH_2(A)$$

More generally, in fact, it provides a homomorphism of graded algebras. We assert that this, is in fact given by the antisymmetrization maps.

¹⁹ For the rest of this section, this assumption will remain in place

²⁰ Kähler differentials are in some sense ‘universal derivations’ on A . More precisely, $\Omega_{A|k}^1$ is generated over k by symbols da satisfying

$$\begin{aligned} d(\lambda a + \mu b) &= \lambda da + \mu db & \lambda, \mu \in A \\ d(ab) &= (da)b + a(db) \end{aligned}$$

Definition. The *antisymmetrization maps*²¹ are the maps

$$\epsilon_n : \Omega_{A|k}^n \rightarrow HH_n(A)$$

given by

$$(a_0 da_1 \cdots da_n) \mapsto \sum_{\sigma \in S_n} \text{sgn}(\sigma) \sigma.(a_0, a_1, \dots, a_n)$$

Lemma. *The antisymmetrization maps form an algebra homomorphism.*²²

Proof. First, we want to see that the maps defined above do indeed take values in cycles. If we set

$$h(u)(a) = \sum_{i=0}^n (-1)^i h_i = \sum_{i=0}^n (-1)^i (a_0, \dots, a_i, u, a_{i+1}, \dots, a_n)$$

then we can compute directly that

$$b \circ h(u) = 0 - h(u) \circ b$$

and that, when $n = 0, 1$

$$b \circ \epsilon_n = 0$$

Assume now, inductively, that this holds up to n . Then

$$\begin{aligned} b \circ \epsilon_{n+1}(\underline{a}, y) &= (-1)^n b \circ h(y) \circ \epsilon_n(\underline{a}) \\ &= (-1)^n h(y) \circ b \circ \epsilon_n(\underline{a}) = 0 \end{aligned}$$

So that we do, indeed have an induced morphism to Hochschild homology.

To see that this is an graded algebra homomorphism amounts to showing that the diagrams

$$\begin{array}{ccc} \Omega_A^p \times \Omega_A^q & \xrightarrow{\epsilon_p \times \epsilon_q} & HH_p(A) \times HH_q(A) \\ \wedge \downarrow & & \downarrow \times \\ \Omega_A^{p+q} & \xrightarrow{\epsilon_{p+q}} & HH_{p+q}(A) \end{array}$$

commute.

This amounts to showing that

$$\sum_{\tau \in S_p} \sum_{\xi \in S_q} \sum_{\sigma \in \text{Sh}(p,q)} \text{sgn}(\sigma) \text{sgn}(\tau) \text{sgn}(\xi) \sigma(\tau \times \xi) = \sum_{\sigma \in S_{p+q}} \text{sgn}(\sigma) \sigma$$

which follows from the fact that any permutation in S_{p+q} has a unique expression as a composition of a p, q -shuffle with a product of permutations in S_p and S_q respectively. \square

²¹ Sometimes also referred to collectively as the *HKR map* or the *HKR isomorphism*

²² Note that, if this is the case, then it will be the homomorphism induced by the canonical isomorphism $HH_1(A) \rightarrow \Omega_{A|k}^1$, since this map is precisely ϵ_1 .

HKR Theorem for commutative rings

Definition. For A a commutative unital ring, we say that A is smooth over k if it is flat over k and if, for any maximal ideal $\mathfrak{m} \subset A$, the kernel

$$I = \ker(\mu_{\mathfrak{m}} : (A \otimes_k A)_{\mu^{-1}(\mathfrak{m})} \rightarrow A_{\mathfrak{m}})$$

is generated by a regular sequence²³ in $(A \otimes_k A)_{\mu^{-1}(\mathfrak{m})}$

²³ Recall that a sequence of elements (x_1, \dots, x_m) in A is *regular* if multiplication by x_i in $S/\langle x_1, \dots, x_m \rangle$ is injective.

Definition. Let R be a commutative ring and V an R -module, with

$$x : V \rightarrow R$$

a linear form. The *Koszul complex* of x is

$$\mathcal{K}(x) = \left(\bigwedge_R^* V, d_x \right)$$

where the differential is given by

$$d_x(v_0 \wedge \cdots \wedge v_n) = \sum_{i=0}^n (-1)^i x(v_i) v_0 \wedge \cdots \wedge \hat{v}_i \wedge \cdots \wedge v_n$$

For the remainder of the talk, let us fix R a commutative ring, I an ideal of R generated by a regular sequence $x = (x_1, \dots, x_m)$ in R . From this setup, we get a form²⁴:

$$x(r_1, \dots, r_m) = \sum_{i=1}^m x_i r_i$$

²⁴ This form can be thought of as a sort of scalar product.

From this, we get a Koszul complex $\mathcal{K}(x)$

Lemma. *The Koszul complex $\mathcal{K}(x)$ is a resolution of R/I*

Proof. By induction on m . Suppose $m = 1$, then we have the complex

$$\mathcal{K}(x) = \mathcal{K}(x_1) = 0 \rightarrow R \xrightarrow{x_1} R \rightarrow 0$$

So that

$$H_n(\mathcal{K}(x)) = \begin{cases} R/I & n = 0 \\ 0 & \text{else} \end{cases}$$

Suppose this is true for $m - 1$. Then we can fit $\mathcal{K}(x_m)$ into the exact sequence

$$0 \longrightarrow \mathcal{K}_0 \longrightarrow \mathcal{K}(x_m) \longrightarrow \mathcal{K}_1 \longrightarrow 0$$

$$\begin{array}{ccccccccc} 0 & \longrightarrow & 0 & \longrightarrow & R & \longrightarrow & R & \longrightarrow & 0 \\ \downarrow & & \downarrow & & \downarrow x_m & & \downarrow & & \downarrow \\ 0 & \longrightarrow & R & \longrightarrow & R & \longrightarrow & 0 & \longrightarrow & 0 \end{array}$$

If we tensor this exact sequence with

$$L := \mathcal{K}(x_1, \dots, x_{m-1})$$

we get the exact sequence

$$0 \rightarrow \mathcal{K}_0 \otimes L \rightarrow \mathcal{K}(x) \rightarrow \mathcal{K}_1 \otimes L \rightarrow 0$$

We can then take the LES on homology to see that

$$0 \rightarrow \operatorname{coker}((x_m)^n) \rightarrow H_n(\mathcal{K}(x)) \rightarrow \ker((x_m)^{n-1}) \rightarrow 0$$

where

$$(x_m)^n : H_n(L) \xrightarrow{x_m^n} H_n(L)$$

For $n > 1$, this tells us $H_n(\mathcal{K}(x)) = 0$. When $n = 1$, we get²⁵

$$H_1(\mathcal{K}(x)) = \ker(x_m : R/\langle x_1, \dots, x_{m-1} \rangle \rightarrow R/\langle x_1, \dots, x_{m-1} \rangle) = 0$$

and when $n = 0$

$$H_0(\mathcal{K}(x)) = \operatorname{coker}(x_m : R/\langle x_1, \dots, x_{m-1} \rangle \rightarrow R/\langle x_1, \dots, x_{m-1} \rangle) = R/I$$

□

Lemma. *The morphism*

$$\epsilon_* \bigwedge_{R/I}^* (I/I^2) \rightarrow \operatorname{Tor}_*^R(R/I, R/I)$$

induced by

$$\epsilon_1 : I/I^2 \cong \operatorname{Tor}_1^R(R/I, R/I)$$

is an isomorphism of graded algebras.

Proof. We take the Koszul complex of x as a resolution of R/I to compute Tor , and end up with the complex

$$\left(\bigwedge_R^* [R^m] \otimes_R R/I, d_x \otimes 1 \right)$$

However, d_x takes coefficients in I , so the differential is identically zero. Hence the homology is

$$\bigwedge_R^* ((R/I)^m) \cong \bigwedge_R^* (I/I^2)$$

□

²⁵ Injectivity in this case follows from the regularity of x_m in $R/\langle x_1, \dots, x_{m-1} \rangle$.

Theorem (HKR). *For any smooth algebra A over k , the antisymmetrization map*

$$\epsilon_* : \omega_{A|k}^* \rightarrow HH_*(A)$$

is an isomorphism of graded algebras²⁶.

²⁶ Though we will not prove it here, this isomorphism also takes the B operator to the differential on forms.

Proof. Firstly, we notice that $A \cong A^{op}$. Additionally, it suffices to prove the proposition for localizations at maximal ideals, so we have to show

$$\Omega_{A_{\mathfrak{m}}|k}^n \cong (\Omega_{A|k}^n)_{\mathfrak{m}} \rightarrow (\mathrm{Tor}_n^{A \otimes A}(A, A))_{\mathfrak{m}}$$

for any maximal ideal $\mathfrak{m} \subset A$.

We can notice that

$$\theta_n : (\mathrm{Tor}_n^{A \otimes A}(A, A))_{\mathfrak{m}} \rightarrow \mathrm{Tor}_n^{(A \otimes A)_{\mu^{-1}(\mathfrak{m})}}(A_{\mathfrak{m}}, A_{\mathfrak{m}})$$

is a natural transformation of homological functors with θ_0 an isomorphism. Hence, it is a natural isomorphism. If we then let

$$\begin{aligned} R &= (A \otimes A)_{\mu^{-1}(\mathfrak{m})} \\ R/I &= A_{\mathfrak{m}} \end{aligned}$$

in the terminology of the previous lemma, then the lemma implies the theorem. \square

Hochschild Homology of Schemes

MICHAEL BROWN

We fix, for the rest of the talk, k a field, and X a quasi-compact separated k -scheme.

GOAL: Sketch a proof²⁷ that, once they are defined,

$$HH_*(\mathrm{perf}_{\mathrm{dg}} X) \cong HH_*(X)$$

and similarly for HC_* , HN_* , and HP_* .²⁸ This establishes a well-defined notion of Hochschild homology on X ²⁹

Defining invariants of Schemes

Definition. A *mixed complex* of k -vector spaces is a dg-module over the dg algebra $k[x]/x^2$, $|x| = 1$, which has trivial differentials³⁰.

Further, we set the following notation

$$\mathcal{D} \mathrm{Mix}(k) := \mathcal{D}(k[x]/x^2)$$

considered as a dg-algebra. We also define $\mathcal{D} \mathrm{Mix}(X)$ to be the derived category of sheaves of dg-modules over $k[x]/x^2$

Example. The Hochschild complex $C_*(A)$ associated to a k -algebra is a mixed complex equipped with the Connes B -operator, as we saw in the last talk. Call this mixed complex $M(A)$.

We then have a presheaf

$$U \mapsto M(\Gamma(U, \mathcal{O}_x))$$

and can set

$$M(\mathcal{O}_X)$$

to be the sheafification of this presheaf³¹. We then define the Hochschild homology to be³²

$$HH_*(X) := \mathbb{H}^{-*}(X, M(\mathcal{O}_X))$$

²⁷ Following, among other sources, Keller's paper [6]

²⁸ In the notation of the last talk, $HN_* = HC_*^-$.

²⁹ There is a parallel story for Hochschild cohomology. See for example [8] and [9].

³⁰ The differentials here follow chain complex conventions, ie are of degree -1 .

³¹ Level-wise in this complex.

³² Note: it is not immediately obvious that the hypercohomology inherits a differential from the mixed complex structure of the sheaf. As it turns out, it can, in fact, be equipped with a 'Connes B operator', but this is a fact that requires some checking

Now, given a k -algebra A , let $BM(A)$ denote the direct sum totalization of the bicomplex³³:

$$\begin{array}{ccccccc}
 & \vdots & & \vdots & & \vdots & \\
 & \downarrow b & & \downarrow b & & \downarrow b & \\
 & A^{\otimes 3} & \xleftarrow{B} & A^{\otimes 2} & \xleftarrow{B} & A & \cdots \\
 & \downarrow b & & \downarrow b & & & \\
 & A^{\otimes 2} & \xleftarrow{B} & A & & & \\
 & \downarrow b & & & & & \\
 & A & & & & &
 \end{array}$$

³³ That is, the bicomplex for the negative cyclic homology of A

And let $BM(\mathcal{O}_X)$ denote the sheafification of the presheaf

$$U \mapsto BM(\Gamma(U, \mathcal{O}_X))$$

We then can define the cyclic homology of X to be the hypercohomology

$$HC_*(X) := \mathbb{H}^{-*}(X, BM(\mathcal{O}_X))$$

If we denote by \mathbb{H} the hypercohomology complex corresponding to $HC_*(X)$, then there is a surjection, the *Connes periodicity operator*

$$s : \mathbb{H}[2] \rightarrow \mathbb{H}$$

We can define a new complex via the limit³⁴

$$L_* := \varprojlim \left(\cdots \xrightarrow{s} \mathbb{H}[2p+2] \xrightarrow{s} \mathbb{H}[2p] \rightarrow \cdots \xrightarrow{s} \mathbb{H} \right)$$

Using this complex, we can then define periodic cyclic homology

$$HP_n := H^{-n}(L_*)$$

and, using the map (which exists by universal property)

$$L_* \rightarrow \mathbb{H}[-2]$$

we can also define negative cyclic homology

$$HN_n(X) := \ker(L_* \rightarrow \mathbb{H}[-2])$$

Theorem (Geller, Weibel. [10]). *If $X = \text{Spec}(A)$, $HH_*(X) = HH_*(A)$.*

Proof. Let $\mathcal{H}\mathcal{H}_n(X)$ be the Sheafification of the presheaf

$$U \mapsto HH_*\Gamma(U, \mathcal{O}_X)$$

Then there exists a bounded spectral sequence

$$E_2^{p,q} = H^p(X, \mathcal{H}\mathcal{H}_{-q}(X)) \Rightarrow HH_{-p-q}(X)$$

This spectral sequence collapses at $p = 0$ ³⁵.

³⁴ Notice that in coordinates one can think of s as multiplication by the formal variable u introduced last talk. In this sense, the inverse limit simply ‘inverts’ u

□

³⁵ To see that $HC_n(\text{Spec}(A)) \cong HC_n(A)$, see the main theorem 2.5 of Weibel, [7].

DG-Categories

Let \mathcal{C} be a dg category. We can associate a bicomplex to \mathcal{C} with columns as follows³⁶

$$C_n = \bigoplus_{X_0, \dots, X_n} \mathcal{C}(X_n, X_0) \otimes_k \mathcal{C}(X_{n-1}, X_n) \otimes_k \cdots \otimes_k \mathcal{C}(X_0, X_1)$$

The Horizontal differentials are given by alternating sums of the following ‘face maps’

$$\begin{aligned} d_i : C_n &\rightarrow C_{n-1} & 0 \leq i < n \\ (f_n, \dots, f_0) &\mapsto (f_n, \dots, f_{i+1} \circ f_i, \dots, f_0) \end{aligned}$$

$$\begin{aligned} d_n : C_n &\rightarrow C_{n-1} \\ (f_n, \dots, f_0) &\mapsto (-1)^{n+\sigma} (f_0 \circ f_n, \dots, f_1) \end{aligned}$$

where

$$\sigma = |f_0| (|f_1| + \cdots + |f_{n-1}|)$$

Let $C(\mathcal{C})$ be the direct sum totalization of this bicomplex, and call it the *Hochschild complex* of \mathcal{C} .

Definition. A complex P of \mathcal{O}_X -modules is *strictly perfect* if, for every $x \in X$ there exists a neighborhood U of x such that $P|_U$ is isomorphic to a bounded complex of summands of locally free \mathcal{O}_X -modules.

A complex P of \mathcal{O}_X -modules is *perfect* if, for every $x \in X$ there exists a neighborhood U of x such that P_U is quasi-isomorphic to a strictly perfect complex.

We also write $\text{perf}_{dg} \mathcal{O}_X$ for the dg quotient of the dg category of perfect complexes on X . And analogously for $\text{strperf}_{dg} \mathcal{O}_X$.

Theorem (Keller, [6]). *There is an isomorphism in $\mathcal{D} \text{Mix}(k)$* ³⁷

$$\tau : M(\text{perf}_{dg} \mathcal{O}_X) \xrightarrow{\cong} \mathbb{R}\Gamma(X, M(\mathcal{O}_X))$$

We now write $M(\text{perf}_{dg} \mathcal{O}_X)$ for the sheafification of

$$U \mapsto M(\text{perf}_{dg} \mathcal{O}_U)$$

For every $U \subset X$ open, there are maps

$$M(\Gamma(U, \mathcal{O}_X)) \rightarrow M(\text{proj}(\Gamma(U, \mathcal{O}_X))) \rightarrow M(\text{perf}_{dg} \mathcal{O}_U)$$

therefore, there exists a morphism of sheaves

$$M(\mathcal{O}_X) \xrightarrow{\alpha} M(\text{perf}_{dg} \mathcal{O}_X)$$

³⁶ Where $\mathcal{C}(X, Y)$ here denotes the morphism complex between the two objects, and the sum ranges over all tuples of objects.

³⁷ Where we write $M(\mathcal{C})$ for $C(\mathcal{C})$ as a mixed complex, for a dg category \mathcal{C} . And

$$\mathbb{R}\Gamma(X, -) : \mathcal{D} \text{Mix}(X) \rightarrow \mathcal{D} \text{Mix}(k)$$

is the total right derived functor of the global sections functor. Note that there is a quasi-isomorphism

$$\mathbb{R}\Gamma(X, M(\mathcal{O}_X)) \xrightarrow{\cong} \mathbb{H}(X, M(\mathcal{O}_X))$$

as proved in the appendix of [6]

Lemma (Key Lemma, [6]). α is an isomorphism in $\mathcal{D} \text{Mix}(X)$.

Sketch of Proof. ³⁸ Our strategy will be to show that α is a quasi-isomorphism on stalks.

First notice that

$$M(\text{perf}_{dg} \mathcal{O}_X) \xrightarrow{\cong} \varinjlim (M(\text{perf}_{dg} \mathcal{O}_U))$$

We will show that

$$\beta : \varinjlim \text{perf}_{dg} \mathcal{O}_U \rightarrow \text{perf}_{dg} \mathcal{O}_{X,x}$$

is a quasi-isomorphism. This will complete the proof, since the following diagram commutes

$$\begin{array}{ccc} M(\mathcal{O}_{X,x}) & \xrightarrow{\alpha} & M(\text{perf}_{dg} \mathcal{O}_X)_x \xrightarrow{a \cong} M(\varinjlim \text{perf}_{dg} \mathcal{O}_U) \\ & \searrow b \cong & \downarrow \\ & & M(\text{perf}_{dg} \mathcal{O}_{X,x}) \end{array}$$

where a is a quasi-isomorphism because of the properties of the limit, and b is a quasi-isomorphism by the assumption of Morita invariance.

To see that β is a quasi-isomorphism, notice that we have a commutative diagram

$$\begin{array}{ccc} \varinjlim M(\text{perf}_{dg} \mathcal{O}_U) & \xrightarrow{\beta} & M(\text{perf}_{dg} \mathcal{O}_{X,x}) \\ \uparrow c & & \uparrow d \\ \varinjlim M(\text{strperf}_{dg} \mathcal{O}_U) & \xrightarrow{e} & M(\text{strperf}_{dg} \mathcal{O}_{X,x}) \\ \uparrow a & \nearrow b & \\ \varinjlim M(\text{strperf}_{dg} \Gamma(U, \mathcal{O}_X)) & & \end{array}$$

Since a and b are quasi-isomorphisms, so is e , since c , e , and d are quasi-isomorphisms³⁹, we see that β is. \square

To define the map τ of the theorem, we use the following square in $\mathcal{D} \text{Mix}(k)$

$$\begin{array}{ccc} M(\text{perf}_{dg} \mathcal{O}_X) & \longrightarrow & \Gamma(X, M(\text{perf}_{dg} \mathcal{O}_X)) \\ \tau \downarrow & & \downarrow \\ \mathbb{R}\Gamma(X, M(\mathcal{O}_X)) & \xrightarrow[\text{Key Lemma}]{\cong} & \mathbb{R}\Gamma(X, M(\text{perf}_{dg} \mathcal{O}_X)) \end{array}$$

³⁸ We here use the assumption of the Morita invariance of

$$M(-) : \text{dg-Cat} \rightarrow \mathcal{D} \text{Mix}(k)$$

which will be discussed in future talks.

³⁹ d is a quasi-isomorphism since it is an affine case. a and c are because we can restrict to affines. b is a quasi-isomorphism by Morita invariance.

Claim. τ is an isomorphism when $X = \text{Spec}(A)$.

Proof. We have the following commutative diagram

$$\begin{array}{ccc}
 M(A) & \xrightarrow{b} & M(\text{perf}_{dg} \mathcal{O}_X) \\
 \downarrow c & \nearrow \tau & \\
 \mathbb{R}\Gamma(X, M(\mathcal{O}_X)) & & \\
 \downarrow a & & \\
 \mathbb{H}(X, M(\mathcal{O}_X)) & &
 \end{array}$$

\cong (curved arrow from $M(A)$ to $\mathbb{H}(X, M(\mathcal{O}_X))$)

Since a is a quasi-isomorphism, so is c , and since c and b are quasi-isomorphisms, τ is as well. \square

Proposition ([6]). *If $V, W \subset X$ are open and quasi-compact, and $X = V \cup W$, there exists an isomorphism of triangles in $\mathcal{D} \text{Mix}(k)$* ⁴⁰

⁴⁰ Note that this can be thought of as a sort of Mayer-Vietoris-type result.

$$\begin{array}{ccc}
 M(\text{perf}_{dg} \mathcal{O}_X) & \xrightarrow{\beta} & \mathbb{R}\Gamma(M(\text{perf}_{dg} \mathcal{O}_X)) \\
 \downarrow & & \downarrow \\
 M(\text{perf}_{dg} \mathcal{O}_V) \oplus M(\text{perf}_{dg} \mathcal{O}_W) & \longrightarrow & \mathbb{R}\Gamma(V, M(\text{perf}_{dg} \mathcal{O}_V)) \oplus \mathbb{R}\Gamma(W, M(\text{perf}_{dg} \mathcal{O}_W)) \\
 \downarrow & & \downarrow \\
 M(\text{perf}_{dg} \mathcal{O}_{V \cap W}) & \longrightarrow & \mathbb{R}\Gamma(V \cap W, M(\text{perf}_{dg} \mathcal{O}_{V \cap W})) \\
 \vdots & & \vdots
 \end{array}$$

This tells us that

Corollary. *Our two definitions of Hochschild Homology coincide*⁴¹,
ie

$$HH_*(\text{perf}_{dg} \mathcal{O}_X) \cong HH_*(X)$$

Now, let $\mathbb{Q} \subset k$, and

$$\epsilon : M(A) \rightarrow (\Omega_{A|k}^*, 0, d)$$

be the antisymmetrization maps from last talk⁴². As we saw before, when $A|k$ is smooth, e is a quasi-isomorphism. However, we have the same result for schemes, which follows from simply sheafifying. That is

⁴¹ Additionally, we have that

$$HC_*(\text{perf}_{dg} \mathcal{O}_X) \cong H_*(k \otimes_{k[x]/x^2}^L \mathbb{R}\Gamma(X, M(\mathcal{O}_X)))$$

But it is not immediately clear that this is $HC_*(X)$. This is, however, proved by Keller in [6], and can further be used to deduce the same for HN and HP .

⁴² We list the target as a triple to emphasize that it is a *mixed* chain complex.

Proposition (HKR for Schemes). *The induced map*

$$e : M(\mathcal{O}_X) \rightarrow (\Omega_{X|k}^*, 0, \partial)$$

is an isomorphism when $X|k$ is smooth and $\mathbb{Q} \subset k$.

As a result, we get the decomposition

$$HH_i(X) \cong \bigoplus_{q-p=i} H^q(X, \Omega_X^p)$$

Example. We can, for example, compare the Hodge diamond to the dimensions of the Hochschild Homology groups. For example

$$\begin{array}{c}
 HH_{-1} \quad HH_0 \quad HH_1 \\
 \begin{array}{ccc}
 \text{0} & \begin{array}{c} \text{1} \\ \text{1} \end{array} & \text{0} \\
 \end{array}
 \end{array}$$

$HH_*(\mathbb{P}^1)$

Differential Graded Categories

GUSTAVO JASSO

For the most part, this talk will follow [11], and will try as far as possible to use the same notation.

Let k be a commutative ring, and denote by $C(k)$ the category of complexes of k -modules.

Preliminaries

Definition. A dg category \mathcal{A} consists of

- A class $\text{Obj}(\mathcal{A})$ of objects
- For any $x, y \in \text{Obj}(\mathcal{A})$, a complex

$$\mathcal{A}(x, y) \in C(k)$$

of morphisms

- For any $x, y, z \in \text{Obj}(\mathcal{A})$ a morphism of complexes

$$\mathcal{A}(x, y) \otimes_k \mathcal{A}(y, z) \rightarrow \mathcal{A}(x, z)$$

satisfying unitality and associativity.

Example. a) Let \mathcal{A} be a dg category such that $\text{Obj}(\mathcal{A}) = \{*\}$. Then

$$A := \mathcal{A}(*, *)$$

inherits the structure of a dg-algebra

$$(A \otimes A, d \otimes 1 + 1 \otimes d) \rightarrow (A, d)$$

Recall that

$$(A \otimes A)^n := \bigoplus_{p+q=n} A^p \otimes A^q$$

So for $f \in A^p, g \in A^q$, we want that TFDC⁴³

⁴³ Up to Koszul sign convention.

$$\begin{array}{ccc}
f \otimes g & \longmapsto & df \otimes g + (-1)^{pq} f \otimes dg \\
\downarrow & & \downarrow \\
fg & \longmapsto & d(fg)
\end{array}$$

so that

$$d(fg) = df \cdot g + (-1)^{pq} f \cdot dg$$

- b) Let \mathcal{B} be an additive k -category⁴⁴, and define $C_{dg}(\mathcal{B})$ to be the category whose objects are complexes in \mathcal{B} , and with

⁴⁴ For example, $\text{Proj}(A)$, $\text{Mod } -A$, $\text{Qcoh}(X)$...

$$\text{Hom}(X, Y)^n = \text{degree } n \text{ maps } X \rightarrow Y$$

equipped with the differentials

$$f \mapsto df := f \circ d_Y - (-1)^n d_X \circ f$$

for $f \in \text{Hom}(X, Y)^n$.

Definition. For a dg category \mathcal{A} ,

- a) $Z^0(\mathcal{A})$ the *cycle category* has

$$\text{Obj}(Z^0(\mathcal{A})) = \text{Obj}(\mathcal{A})$$

$$Z^0(\mathcal{A})(x, y) = Z^0(\mathcal{A}(x, y))$$

- b) $H^0(\mathcal{A})$ has

$$\text{Obj}(H^0(\mathcal{A})) = \text{Obj}(\mathcal{A})$$

$$H^0(\mathcal{A})(x, y) = H^0(\mathcal{A}(x, y))$$

Example. Let \mathcal{B} be an additive category.

- a) We have

$$Z^0(C_{dg}(\mathcal{B})) = C(\mathcal{B})$$

Since

$$f \in Z^0(\text{Hom}(X, Y)) \Leftrightarrow \begin{cases} f \in \text{Hom}(X, Y) \\ df = f \circ d_Y - d_X \circ f = 0 \end{cases}$$

- b) Moreover

$$H^0(C_{dg}(\mathcal{B})) = K(\mathcal{B})$$

since

$$f \in B^1(\text{Hom}(X, Y)) \Leftrightarrow \begin{cases} \exists h \in \text{Hom}(X, Y)^1 \\ f = dh = h \circ d_Y + d_X \circ h \\ (f \text{ is null-homotopic}) \end{cases}$$

Definition. Let \mathcal{A} and \mathcal{B} be dg categories. A *dg-functor* $F : \mathcal{A} \rightarrow \mathcal{B}$ consists of

- a map $F : \text{Obj}(\mathcal{A}) \rightarrow \text{Obj}(\mathcal{B})$
- For any $x, y \in \text{Obj}(\mathcal{A})$ a morphism of complexes

$$F_{x,y} : \mathcal{A}(x, y) \rightarrow \mathcal{B}(F(x), F(y))$$

satisfying unitality and composition.

Example. For a dg category \mathcal{A} , and

$$C_{dg}(k) := C_{dg}(\text{Mod}_k)$$

Then for all $x \in \text{Obj}(\mathcal{A})$

$$\mathcal{A}(x, -) : \mathcal{A} \rightarrow C_{dg}(k)$$

is a dg functor.

Definition. Let $F, G : \mathcal{A} \rightarrow \mathcal{B}$ be dg functors, and

$$\text{Hom}(F, G) = \text{degree } n \text{ natural transformations}$$

that is, the set

$$\{\eta_x \in \mathcal{B}(F(x), G(x)) \mid x \in \text{Obj}(\mathcal{A})\}$$

satisfying that for any $f \in \mathcal{A}(x, y)$, TFDC

$$\begin{array}{ccc} F(x) & \xrightarrow{F(f)} & F(y) \\ \eta_x \downarrow & & \downarrow \eta_y \\ G(x) & \xrightarrow{G(f)} & G(y) \end{array}$$

In this case, $Z^0(\text{Hom}(F, G))$ is simply the set of morphisms from F to G .

Definition. Let \mathcal{A} be a small dg category, \mathcal{B} any dg category. Then the category $\text{Hom}(\mathcal{A}, \mathcal{B})$ has objects dg functors $\mathcal{A} \rightarrow \mathcal{B}$, and

$$\text{Hom}(\mathcal{A}, \mathcal{B})(F, G) := \text{Hom}(F, G)$$

Definition. Let \mathcal{A} and \mathcal{B} be k -categories. The *tensor product* of \mathcal{A} and \mathcal{B} is defined by

$$\text{Obj}(\mathcal{A} \otimes \mathcal{B}) := \text{Obj}(\mathcal{A}) \times \text{Obj}(\mathcal{B})$$

and

$$(\mathcal{A} \otimes \mathcal{B})((a, b), (a', b')) := \mathcal{A}(a, a')\mathcal{B}(b, b')$$

Before continuing, we fix some notation. We will denote by

dg-cat

the category of small dg categories.

Proposition. $(\text{dg-cat}, \otimes)$ is a symmetric closed monoidal category. In particular, there is a canonical isomorphism⁴⁵

$$\text{Hom}(\mathcal{A} \otimes \mathcal{B}, \mathcal{C}) \cong \text{Hom}(\mathcal{A}, \text{Hom}(\mathcal{B}, \mathcal{C}))$$

⁴⁵ This isomorphism determines the tensor product up to isomorphism.

Dg-modules

Definition. Let \mathcal{A} be a dg category. Then the *opposite dg category* \mathcal{A}^{op} is given by

- $\text{Obj}(\mathcal{A}^{op}) = \text{Obj}(\mathcal{A})$
- For any $x, y \in \text{Obj}(\mathcal{A}^{op})$

$$\mathcal{A}^{op}(x, y) = \mathcal{A}(y, x)$$

- For any $x, y, z \in \text{Obj}(\mathcal{A}^{op})$

$$\begin{aligned} \mathcal{A}^{op}(x, y) \otimes \mathcal{A}^{op}(y, z) &\rightarrow \mathcal{A}^{op}(x, z) \\ f \otimes g &\mapsto (-1)^{|f||g|} g \circ f \end{aligned}$$

Definition. Let $\mathcal{A} \in \text{dg-cat}$. The *dg category of (right) dg modules* is

$$\text{Mod}_{\mathcal{A}} = C_{dg}(\mathcal{A}) := \text{Hom}(\mathcal{A}^{op}, C_{dg}(k))$$

Proposition. Let \mathcal{A} be a dg category.

a) For any $x \in \text{Obj}(\mathcal{A})$ and any $M \in C_{dg}(\mathcal{A})$

$$\text{Hom}_{\mathcal{A}}(\mathcal{A}(-, x), M) \cong M_x$$

b) If \mathcal{A} is small,

$$\begin{aligned} \mathcal{A} &\hookrightarrow C_{dg}(\mathcal{A}) \\ x &\mapsto \mathcal{A}(-, x) \end{aligned}$$

Definition. Let $\mathcal{A} \in \text{dg-cat}$ and $M \in C_{dg}(\mathcal{A})$

a) M is *acyclic* if, for any $x \in \text{Obj}(\mathcal{A})$, $M_x \in C_{dg}(\mathcal{A})$ is acyclic.

b) M is *h-projective*⁴⁶ if, for any $N \in C_{dg}(\mathcal{A})$ that is acyclic,

$$H^0(\text{Hom}(M, N)) = 0$$

c) M an h-projective object is *compact* if, for any indexing set I and any

$$\{N_i \in C_{dg} \mid i \in I\}$$

the canonical morphism

$$\coprod_{i \in I} H^0(\text{Hom}_{\mathcal{A}}(M, N_i)) \rightarrow H^0\left(\text{Hom}_{\mathcal{A}}\left(M, \coprod_{i \in I} N_i\right)\right)$$

⁴⁶ In the context of model categories, this can be thought of as *cofibrant*.

Definition. Let $\mathcal{A} \in \text{dg-cat}$.

a) $\mathcal{D}_{dg}(\mathcal{A})$ is the dg category of h-projective dg \mathcal{A} -modules⁴⁷.

b) $\text{perf}_{dg}(\mathcal{A})$ is the dg category of compact h-projective dg \mathcal{A} -modules.

⁴⁷ In the context of model categories, these are the derived/perfect derived categories. This description of them works because every object admits a cofibrant (h-projective) replacement.

Note that

$$\text{perf}(\mathcal{A}) := H^0(\text{perf}_{dg}(\mathcal{A}))$$

Remark. Let $\mathcal{A} \in \text{dg-cat}$. Then we have a commutative square

$$\begin{array}{ccc} \mathcal{A} & \xrightarrow{\text{Yoneda}} & C_{dg}(\mathcal{A}) \\ \text{can.} \downarrow & & \downarrow \\ \text{perf}_{dg}(\mathcal{A}) & \longrightarrow & \mathcal{D}_{dg}(\mathcal{A}) \end{array}$$

The category \mathbf{Hqe}

Definition. A dg functor $F : \mathcal{A} \rightarrow \mathcal{B}$ is a *quasi-equivalence* if

- For any $x, y \in \text{Obj}(\mathcal{A})$

$$F_{x,y} : \mathcal{A}(x, y) \rightarrow \mathcal{B}(F(x), F(y))$$

is a quasi-isomorphism

- $H^0(F) : H^0(\mathcal{A}) \rightarrow H^0(\mathcal{B})$ is an equivalence.

Remark. \otimes and Hom do not preserve quasi-equivalences.

Theorem (Tabuada). *The category*

$$\mathbf{Hqe} := (\text{dg-cat})[qeq^{-1}]$$

exists and is equivalent to the model category of a cofibrantly generated model category.

Definition. A dg category \mathcal{A} is *h-flat*, if, for all $x, y \in \text{Obj}(\mathcal{A})$,

$$\mathcal{A}(x, y) \otimes - : C(k) \rightarrow C(k)$$

preserves quasi-isomorphisms.

Remark. For any $\mathcal{A} \in \text{dg-cat}$, there exists $\mathcal{A}_{\text{cof}} \in \text{dg-cat}$ which is h-flat, such that

$$\mathcal{A} \cong \mathcal{A}_{\text{cof}}$$

in \mathbf{Hqe} .

Definition. Let $\mathcal{A}, \mathcal{B} \in \text{dg-cat}$ and $X \in C_{\text{dg}}(\mathcal{A}^{\text{op}} \otimes \mathcal{B})$. X is a *quasi-functor* if, for any $a \in \text{Obj}(\mathcal{A})$ there exists $b \in \text{Obj}(\mathcal{B})$ such that

$${}_a X \cong \mathcal{B}(-, b)$$

in $H^0(\mathcal{D}_{\text{dg}}(\mathcal{B}))$.

Remark. Let $\mathcal{A}, \mathcal{B} \in \text{dg-cat}$ and $X \in C_{\text{dg}}(\mathcal{A}^{\text{op}} \otimes \mathcal{B})$ a quasi-functor. Then X induces

$$H^0(\mathcal{A}) \rightarrow H^0(\mathcal{B})$$

Definition. Let $\mathcal{A}, \mathcal{B} \in \text{dg-cat}$. Then $\text{rep}_{\text{dg}}(\mathcal{A}, \mathcal{B})$ is the dg category of quasi-functors in

$$\mathcal{D}_{\text{dg}}(\mathcal{A}^{\text{op}} \otimes \mathcal{B})$$

Definition. Let $\mathcal{A} \in \text{dg-cat}$. The *left derived tensor product* is

$$\mathcal{A} \otimes^L - := \mathcal{A}_{\text{cof}} \otimes - : \text{Hqe} \rightarrow \text{Hqe}$$

Theorem (Drinfeld, Toën). (Hqe, \otimes^L) is symmetric closed monoidal with internal hom

$$R\text{Hom}(\mathcal{A}, \mathcal{B}) \cong \text{rep}_{\text{dg}}(\mathcal{A}_{\text{cof}}, \mathcal{B})$$

in Hqe

Theorem (Toën). Let \mathcal{A} and \mathcal{B} be dg categories, then

$$\mathcal{D}_{\text{dg}}(\mathcal{A}^{\text{op}} \otimes \mathcal{B}) \rightarrow R\text{Hom}_c(\mathcal{D}_{\text{dg}}(\mathcal{A}), \mathcal{D}_{\text{dg}}(\mathcal{B}))$$

is an isomorphism in Hqe.

Triangulated dg categories

Definition (Toën). $\mathcal{A} \in \text{dg-cat}$ is *triangulated perfect*⁴⁸ if

$$H^0(\mathcal{A}) \xrightarrow{H^0(\text{can})} H^0(\text{perf}_{\text{dg}}(\mathcal{A}))$$

is an equivalence

Definition (Toën). $F : \mathcal{A} \rightarrow \mathcal{B}$ dg functor is called a *Morita equivalence* (mo) is

$$F^* : \mathcal{D}(\mathcal{B}) \rightarrow \mathcal{D}(\mathcal{A})$$

is an equivalence.

Remark. a) $\text{qeq} \subset \text{mo}$

b) $\mathcal{A} \xrightarrow{\text{can}} \text{perf}_{\text{dg}}(\mathcal{A})$ is a Morita equivalence.

⁴⁸ This notion is stronger than the notion of a pretriangulated dg category. To get the definition of pretriangulated, take the subcategory $\text{tria}(\mathcal{A})$ fitting into

$$\begin{array}{ccc} & \mathcal{A} & \longrightarrow C_{\text{dg}}(\mathcal{A}) \\ & \swarrow & \searrow \\ \text{tria}(\mathcal{A}) & & \\ & \searrow & \swarrow \\ & \text{perf}_{\text{dg}}(\mathcal{A}) & \end{array}$$

in place of $\text{perf}_{\text{dg}}(\mathcal{A})$.

Theorem (Tabuada). $\mathbf{Hmo} := (\mathbf{dg-cat})[mo^{-1}]$ exists and is equivalent to the homotopy category of a cofibrantly generated model category.

Remark. There is a quotient

$$\mathbf{Hqe} \xrightarrow{\pi} \mathbf{Hmo}$$

Proposition (Toën?). The map $\mathcal{A} \mapsto \mathbf{perf}_{dg}(\mathcal{A})$ is right adjoint to π and induces an equivalence

$$\mathbf{Hmo} \cong \{\mathcal{A} \in \mathbf{Hqe} \mid \mathcal{A} \text{ is perfect}\}$$

Theorem (Toën). Let $\mathcal{A}, \mathcal{B} \in \mathbf{dg-cat}$. The map

$$\mathcal{D}_{dg}(\mathcal{A}^{op} \otimes^L \mathcal{B}) \rightarrow R\mathbf{Hom}_c(\mathcal{D}_{dg}(\mathcal{A}), \mathcal{D}_{dg}(\mathcal{B}))$$

is an equivalence in \mathbf{Hqe} .

Remark. \mathbf{Hmo} is pointed and has finite direct sums.

Definition. A short exact sequence is a bicartesian diagram

$$\begin{array}{ccc} \mathcal{A} & \xrightarrow{i} & \mathcal{B} \\ \downarrow & & \downarrow p \\ 0 & \longrightarrow & \mathcal{C} \end{array}$$

Theorem (Toën). Let $\mathcal{A} = \mathcal{A}_{conf}$ be a dg category, and $\mathbb{1}_{\mathcal{A}} : \mathcal{A}^{op} \otimes \mathcal{A} \rightarrow \mathcal{A}$ be given by $(x, y) \mapsto \mathcal{A}(x, y)$

- a) $\mathbb{1}_{\mathcal{A}} \in R\mathbf{Hom}(\mathcal{A}, \mathcal{A}) = \mathbf{rep}_{dg}(\mathcal{A}, \mathcal{B})$
- b) $HH^*(\mathcal{A}) \cong H^*(\mathbf{Hom}(\mathbb{1}_{\mathcal{A}}, \mathbb{1}_{\mathcal{A}}))$
- c) In the above isomorphism, cup product is sent to composition, and vice versa.

Remark. • The morphism

$$HH_*(\mathcal{A}) \xrightarrow{HH_*(can)} HH_*(\mathbf{perf}_{dg}(\mathcal{A}))$$

is a quasi-isomorphism

- HH_* of dg categories preserves short exact sequences in \mathbf{Hmo} .

Reduction to Characteristic $p > 0$ for Schemes

ANTHONY BLANC

We have the Hodge to De Rham spectral sequence for K a field⁴⁹, and X a K -scheme.

$$E_1^{p,q} = H^q(X, \Omega_{X|K}^p) \Rightarrow H^{p+q}(X|K) \quad (*)$$

Theorem (1). *If $\text{char } K = 0$ and X is smooth and proper over K , then $(*)$ degenerates at E_1 .*

Theorem (2). *Let $\text{char } k = p > 0$, and X is smooth and proper over k . If $\dim(X) < p$ and X admits a lift to $W_2(k)$ ⁵⁰ then $(*)$ degenerates at E_1 .*

The main body of this talk will be devoted to proving that:

Claim. Theorem 1 implies Theorem 2.

Before that, we will need

Theorem (Grothendieck). *Let X be a smooth proper K -scheme. There exists a finitely generated ring A and a smooth proper A -scheme Y such that $Y \otimes_A K \simeq X$.*

Proof. $(*)$ The first step we need is that there exists some scheme $Y \rightarrow \text{Spec}(A)$ where A has finite type.

Since $X \rightarrow \text{Spec}(K)$ has finite type, we have a decomposition into affines

$$X = \bigcup_{i=1}^s \underbrace{\text{Spec}(A_i)}_{U_i}$$

where

$$A_i = K[X_1, \dots, X_{n_i}] / \mathfrak{a}_i$$

and $\mathfrak{a}_i = (p_1^i, \dots, p_{r_i}^i)$. Similarly, letting $U_i \cap U_j = \text{Spec } A_{ij}$, we have

$$A_{ij} = K[X_1, \dots, X_{n_{ij}}] / \mathfrak{a}_{ij}$$

⁴⁹ We will, in general use K for a field of characteristic 0, and k for a field of positive characteristic.

⁵⁰ The only facts about $W_2(k)$ that will be needed for this talk are that $W_2(k) = k^2$ as a k -vector space, that the addition and multiplication are given by

$$\begin{aligned} (a, b) + (a', b') &= (a + a', b + b' + \\ &\quad \frac{1}{p} (a^{p-1} + (a')^{p-1} - (a + a')^p)) \\ (a, b)(a', b') &= (aa', (a')^p b + b' a^p) \end{aligned}$$

that there is a SES

$$I \rightarrow W_2(k) \rightarrow k$$

with $I^2 = 0$, and that $W_2(\mathbb{F}_p) \simeq \mathbb{Z}/(p^2)$.

and $\mathfrak{a}_{ij} = (p_1^{ij}, \dots, p_{r_{ij}}^{ij})$.

We can then set

$$A = \mathbb{Z}[\text{coeff. of } p_\ell^i \text{ \& } p_\ell^{ij}] \hookrightarrow K$$

so that there exist ideals

$$\begin{aligned} \mathfrak{a}'_i &\subseteq A[X_1, \dots, X_{n_i}] & \mathfrak{a}'_i \cap K &= \mathfrak{a}_i \\ \mathfrak{a}'_{ij} &\subseteq A[X_1, \dots, X_{n_{ij}}] & \mathfrak{a}'_{ij} \cap K &= \mathfrak{a}_{ij} \end{aligned}$$

If we then set

$$A'_i = A[X_1, \dots, X_{n_i}] / \mathfrak{a}'_i$$

and let

$$Y = \text{colim}_i \text{Spec } A'_i$$

we almost definitionally have

$$\begin{aligned} Y &\rightarrow \text{Spec}(A) \\ Y \otimes_A K &= X \end{aligned}$$

We can then write

$$K = \text{colim}_{i \geq 0} A_i$$

where the A_i are finitely generated over Z . As a result, there exists $i_0 > 0$ with

$$\begin{array}{ccc} X & \longrightarrow & Y_{i_0} \\ \downarrow & & \downarrow \text{fin. type} \\ \text{Spec}(K) & \longrightarrow & \text{Spec}(A_{i_0}) \end{array}$$

(*) The next claim, which we state without proof, is that there exists $i_1 > i_0$ and a *proper* A_{i_1} -scheme Y_{i_1} such that $Y_{i_1} \otimes_{A_{i_1}} K \simeq X$ ⁵¹. Using the Chow lemma, we can then reduce to the projective case.

(*) Finally, we claim that there exists $i_2 \geq i_1$ and a *smooth* and *proper* A_{i_2} -scheme Y_{i_2} such that $Y_{i_2} \otimes_{A_{i_2}} K \simeq X$ ⁵².

$$\begin{array}{ccccc} X & \xrightarrow{x \mapsto x_{i_2}} & Y_{i_2} & \longrightarrow & Y_{i_1} \\ \downarrow f & & \downarrow & & \downarrow \\ \text{Spec}(K) & \longrightarrow & \text{Spec}(A_{i_2}) & \longrightarrow & \text{Spec}(A_{i_1}) \end{array}$$

Smoothness follows from

- f is smooth at $x \in X$ if and only if X is geometrically regular at x (ie $X \otimes_K X$ is regular at \bar{x}).
- f is smooth at $x \in X$ if and only if there exists $i_2 > i_1$ such that f_{i_2} is smooth at $x_{i_2} \in Y_{i_2}$. This is true because X being geometrically regular at x is equivalent to Y_{i_2} being geometrically regular at x_{i_2} .

⁵¹ For a complete treatment, see [12]

⁵² Once again, see [12] for a full treatment.

- By quasi-compactness of X , we then get that the result.

□

Proposition. *If S is a finite type integral scheme over $\text{Spec } \mathbb{Z}$, then the smooth locus of S is a non-empty open subset of S .*

We now consider, for $X \rightarrow \text{Spec } K$ as in Theorem 1,

$$\begin{array}{ccc} X & \longrightarrow & Y \\ \downarrow & & \downarrow \\ \text{Spec } K & \longrightarrow & \text{Spec } A = S \end{array}$$

Let $d \geq$ the dimensions of the fibers of Y ⁵³. Set

$$\begin{aligned} N &= \prod_{p \leq d \text{ prime}} p \\ S' &= \text{Spec } A \left[\frac{1}{N} \right] \\ S' &\rightarrow S \end{aligned}$$

⁵³ This is possible by quasi-compactness.

There exists $s' \in S'$ such that $\text{char}(k(s')) > d$, so we can suppose that S has a closed point $s \in S$ such that $\text{char}(k(s)) = p > d$

We can then define coherent⁵⁴ sheaves over S :

$$\begin{aligned} R^j f_* \Omega_{Y|S}^i &=: \mathcal{H}^{ij} \\ R^n f_* \Omega_{Y|S}^* &=: \mathcal{H}^n \end{aligned}$$

⁵⁴ By the Grothendieck finiteness theorem for proper morphisms.

If we let $\eta = (0) \in S$, we see that \mathcal{H}_η^{ij} and \mathcal{H}_η^n are finite dimensional K -vector spaces. And K is given by the (filtered) colimit

$$K = \text{Frac}(A) = \text{colim}_{a \neq 0} A[a^{-1}]$$

Which implies that there exists $a \neq 0$ such that $\mathcal{H}^{ij}|_{D(a)}$ and $\mathcal{H}^n|_{D(a)}$ are locally free sheaves over $D(a)$.

Considering then the diagram

$$\begin{array}{ccccc} Y_s & \longrightarrow & Y & \longleftarrow & X \\ \downarrow & & \downarrow & & \downarrow \\ \text{Spec } k(s) & \longrightarrow & \text{Spec } W_2(k(s)) & \xrightarrow{*} & S & \longleftarrow & \text{Spec } K \end{array}$$

We have that the map $*$ exists by the smoothness of s . Since Theorem 2 applies to Y

$$h^n = \sum_{i+j=n} h^{ij}$$

and the claim from the beginning is proved.

Dg Algebra Analogue

Theorem (1 Toën). *Let k be a commutative ring. Let A be a smooth proper k -dg-algebra. Then there exists a finitely generate ring k_0 and a smooth proper k_0 -dg-algebra A_0 such that $A_0 \otimes_{k_0}^L k \simeq A$ (quasi-isomorphic).*

Fix some notation

dgalg_k	cat. of dg algebras
$\mathrm{Ho}(\mathrm{dgalg}_k)$	homotopy cat.
$\mathrm{Ho}(\mathrm{dgalg}_k^{sp})$	full subcat. of smooth+proper

Theorem (2). *let $\{k_i\}_{i \in I}$ be a filtration diagram of commutative rings with*

$$k = \operatorname{colim}_{i \in I} k_i$$

Then the functor

$$\operatorname{colim}_{i \in I} \mathrm{Ho}(\mathrm{dgalg}_{k_i}^{sp}) \xrightarrow{\operatorname{colim}_{i \in I} (- \otimes_k^L k_i)} \mathrm{Ho}(\mathrm{dgalg}_k^{sp})$$

is an equivalence.

As before, we have that

Claim. Theorem 2 implies Theorem 1

The Deligne-Illusie Decomposition

TOBIAS DYCKERHOFF

There are two basic constructions that we will need to prove the degeneration of the Hodge-to-De Rham Spectral Sequence in positive characteristic:

1) THE FROBENIUS ENDOMORPHISM

- Let S be a scheme of characteristic $p > 0$ ⁵⁵ We then get the *absolute Frobenius* $F_S : S \rightarrow S$ given by
 - The identity map on underlying topological spaces.
 - $F_S(f) = f^p$ where $f \in \mathcal{O}_S$.

⁵⁵ That is, such that

$$p \cdot 1 = 0 \in \mathcal{O}_S$$

over any open.

Assume $S = \text{Spec}(k)$ where k is a field of characteristic $p > 0$.
Let

$$X \xrightarrow{u} S$$

be a k -linear scheme. Then we can form the following diagram

$$\begin{array}{ccccc}
 X & & & & X \\
 \downarrow u & \searrow^{F_{X/S}} & & \searrow^{F_X} & \downarrow u \\
 X^{(p)} & \xrightarrow{\sigma} & X & & X \\
 \downarrow & \lrcorner & \downarrow & & \downarrow \\
 S & \xrightarrow{F_S} & S & & S
 \end{array}$$

We define $X^{(p)}$ to be the pullback, and then the morphism

$$F := F_{X/S}$$

called the *relative Frobenius*, exists by universal property⁵⁶.

Example. Let $X = \text{Spec } k[t]/(f)$, where $f = \sum a_m t^m$. Then

$$X^{(p)} = \text{Spec } k \otimes_k k[t]/(f)$$

⁵⁶ Notice that F is a homeomorphism of the underlying topological spaces, but it is **not** generally an isomorphism of schemes.

where the morphism $k \rightarrow k$ in the definition of the tensor product is F_S . So we see that

$$X^{(p)} \cong \text{Spec } k[t]/(f^{(p)})$$

where

$$f^{(p)} = \sum a_m^p t^m$$

Furthermore, for $a \in k$

$$\sigma^*(at) = 1 \otimes at = a^p t$$

and

$$F^*(a \otimes t) = at^p$$

2) THE DE RHAM COMPLEX

- Let $S = \text{Spec } k$, for k a field. $X \xrightarrow{u} S$ a scheme over k .
- Then we have

$$\Omega^{\bullet}_{X/S} = \mathcal{O}_X \xrightarrow{d} \Omega^1_{X/S} \xrightarrow{d} \Omega^2_{X/S} \rightarrow \dots$$

the *De Rham Complex* of X over S .

Example. 1) Take $k = \mathbb{C}$, and $X = \mathbb{A}^1_{\mathbb{C}}$. Then we can write down the de Rham complex

$$\begin{aligned} \Omega^{\bullet}_{X/S} &= \mathbb{C}[t] \xrightarrow{d} \mathbb{C}[t]dt \\ t^n &\mapsto nt^{n-1}dt \end{aligned}$$

So that, computing the homology, we see that

$$\begin{aligned} \mathcal{H}^0 &= \langle 1 \rangle = \mathbb{C} \\ \mathcal{H}^1 &= 0 \end{aligned}$$

which is exactly the same as

$$H^*(\mathbb{A}^1(\mathbb{C}), \mathbb{C})$$

2) Take $k = \mathbb{C}$, $X = \mathbb{A}^1_{\mathbb{C}} \setminus \{0\}$. Then the de Rham complex is

$$\begin{aligned} \Omega^{\bullet}_{X/S} &= \mathbb{C}[t, t^{-1}] \xrightarrow{d} \mathbb{C}[t, t^{-1}]dt \\ t^n &\mapsto nt^{n-1}dt \end{aligned}$$

so the homology is

$$\begin{aligned} \mathcal{H}^0 &= \langle 1 \rangle \cong \mathbb{C} \\ \mathcal{H}^1 &= \left\langle \frac{dt}{t} \right\rangle \cong \mathbb{C} \end{aligned}$$

Which, as before, is

$$H^*(\mathbb{A}^1(\mathbb{C}) \setminus \{0\}, \mathbb{C})$$

the homology of the analytic space⁵⁷

⁵⁷ There is, in fact, a more general result:

Theorem (Grothendieck). *For X smooth over \mathbb{C} , there is an algebra isomorphism*

$$\mathbb{H}^*(X, \Omega^{\bullet}_{X/S}) \cong H^*(X(\mathbb{C}), \mathbb{C})$$

3) Now let $k = \mathbb{F}_q$, and $X = \mathbb{A}_{\mathbb{F}_q}^1$ ⁵⁸. Then the complex is

$$\begin{aligned} \Omega_{X/S}^\bullet &= \mathbb{F}_q[t] \rightarrow \mathbb{F}_q[t]dt \\ t^n &\mapsto nt^{n-1}dt \end{aligned}$$

So

$$\begin{aligned} \mathcal{H}^0 &= \langle 1, t^p, \dots, t^{mp}, \dots \rangle \cong \mathbb{F}_q[t^p] \\ \mathcal{H}^1 &= \langle t^{p-1}dt, \dots, t^{mp-1}dt, \dots \rangle \cong \mathbb{F}_q[t^p]t^{p-1}dt \end{aligned}$$

Returning to the more general case, let $S = \text{Spec } k$, and $\text{char}(k) = p > 0$. Let $X \xrightarrow{u} S$ be a scheme over k . Then for $a \otimes f \in \mathcal{O}_{X^{(p)}}$, we have

$$dF^*(a \otimes f) = d(af^p) = 0$$

This implies that⁵⁹

$$F_*\Omega_{X/S}^\bullet \text{ is an } \mathcal{O}_{X^{(p)}} \text{ linear complex.}$$

further, we have

Theorem (Cartier). *Assume that X is smooth over k . Then there exist isomorphisms of $\mathcal{O}_{X^{(p)}}$ -modules*

$$C^{-1} : \Omega_{X^{(p)}/S}^i \xrightarrow{\cong} \mathcal{H}^i(F_*\Omega_{X/S}^\bullet)$$

*These isomorphisms are uniquely determined by the properties*⁶⁰

1. $C^{-1}(1 \otimes f) = F^*(1 \otimes f) = f^p \in \mathcal{H}^0(F_*\Omega_{X/S}^\bullet)$
2. $C^{-1}(1 \otimes df) = f^{p-1}df \in \mathcal{H}^1(F_*\Omega_{X/S}^\bullet)$ ⁶¹
3. $C^{-1}(\omega \wedge \tau) = C^{-1}(\omega) \wedge C^{-1}(\tau)$

GOAL: Improve Cartier's result (under additional assumptions) via a more systematic interpretation of $\frac{df^p}{p}$.

QUESTION: How to divide by p in characteristic $p > 0$?

TRICK: Let M be a $\mathbb{Z}/(p)$ -module, and assume that there exists a *lift* \tilde{M} of M to $\mathbb{Z}/(p^2)$, that is

1. $\tilde{M}/p\tilde{M} \cong M$
2. \tilde{M} is flat over $\mathbb{Z}/(p^2)$, so there exists a short exact sequence

$$0 \rightarrow p\tilde{M} \rightarrow \tilde{M} \xrightarrow{p} p\tilde{M} \rightarrow 0$$

In this situation, we *can* divide by p

⁵⁸ Where we assume $q = p^n$, so that \mathbb{F}_q has characteristic p

⁵⁹ This is a direct generalization of what we can observe in examples 3), where

$$\mathcal{O}_{X^{(p)}} = \mathbb{F}_q[t^p]$$

⁶⁰ Imposed locally over some open affine in X .

⁶¹ Where the term

$$f^{p-1}df$$

can be thought of heuristically as an analogue of

$$\frac{df^p}{p}$$

We will make this notion more precise later on.

$$\begin{array}{ccc}
p\tilde{M} & \xleftarrow{\cong} & \tilde{M}/p\tilde{M} & \xrightarrow{\cong} & M \\
& & \searrow & \nearrow & \\
& & & & p^{-1}
\end{array}$$

Slightly more generally, if M is a module over k of character $p > 0$, there exists a ring $W_2(k)$ ⁶² which is a flat $\mathbb{Z}/(p^2)$ -module equipped with an isomorphism

$$W_2(k)/pW_2(k) \cong k$$

replacing $\mathbb{Z}/(p^2)$ by $W_2(k)$ in the above construction gives a k -linear version of p^{-1} .

Theorem (Deligne-Illusie).⁶³ *Let k be perfect of character $p > 0$ and let X be a smooth scheme over k . Assume that X admits a smooth lift \tilde{X} over $W_2(k)$. Then there exists an isomorphism*

$$\phi_{\tilde{X}} : \bigoplus_{i < p} \Omega_{X^{(p)}/S}^i[-i] \xrightarrow{\cong} \tau_{< p} F_* \Omega_{X/S}^\bullet$$

in $\mathcal{D}(X^{(p)})$ ⁶⁴ inducing C^{-1} on cohomology sheaves.⁶⁵

Proof. Assume first that there exists a lift of the relative Frobenius

$$\begin{array}{ccc}
X & \xrightarrow{F} & X^{(p)} \\
& \searrow & \swarrow \\
& & S
\end{array}$$

to⁶⁶

$$\begin{array}{ccc}
\tilde{X} & \xrightarrow{\tilde{F}} & \tilde{X}^{(p)} \\
& \searrow & \swarrow \\
& & \tilde{S}
\end{array}$$

STEP 0: We can explicitly write down the map

$$\begin{aligned}
\phi_{\tilde{X}}^0 : \mathcal{O}_{X^{(p)}} &\rightarrow \mathcal{H}^0(F_* \Omega_{X/S}^\bullet) \hookrightarrow F_* \Omega_{X/S}^\bullet \\
1 \otimes f &\mapsto F^*(1 \otimes f)
\end{aligned}$$

STEP 1: Note that the map

$$F^* : \Omega_{X^{(p)}/S}^\bullet \rightarrow F_* \Omega_{X/S}^1$$

is the zero map. This means that the image of

$$\tilde{F}^* : \Omega_{\tilde{X}^{(p)}/\tilde{S}}^1 \rightarrow \tilde{F}_* \Omega_{\tilde{X}/\tilde{S}}^1$$

lies in $p\tilde{F}_* \Omega_{\tilde{X}/\tilde{S}}^1$. Then we use the diagram

⁶² The so-called *Witt vectors*.

⁶³ Called the *Decomposition theorem*.

⁶⁴ The derived category of complexes of $\mathcal{O}_{X^{(p)}}$ -modules.

⁶⁵ Note: Cartier uses fewer assumptions *and* gets a stronger result. However, this is a more refined version of the theorem, which allows us to access what's really going on.

⁶⁶ Here we use the notations

$$\begin{aligned}
S &= \text{Spec } k \\
\tilde{S} &= \text{Spec } W_2(k)
\end{aligned}$$

$$\begin{array}{ccc}
\Omega_{\tilde{X}^{(p)}/\tilde{S}}^1 & \xrightarrow{\tilde{F}^*} & p\tilde{F}_*\Omega_{\tilde{X}/\tilde{S}}^1 \\
\downarrow & \nearrow & \uparrow \cong \cdot p \\
\Omega_{X^{(p)}/S}^1 & \xrightarrow{\exists! \phi_{\tilde{X}}^1} & F_*\Omega_{X/S}^1
\end{array}$$

Where the diagonal map exists since \tilde{F}^* factors, and the bottom map exists by inverting the multiplication by p ⁶⁷.

In local coordinates

$$\begin{aligned}
\tilde{F}^*(1 \otimes f) &= f^p + pu(f) \\
\tilde{F}^*(1 \otimes df) &= pf^{p-1}df + du(f)
\end{aligned}$$

so that

$$\phi_{\tilde{X}}^1 = \frac{1}{p}\tilde{F}^*(1 \otimes df) = f^{p-1}df + du(f)$$

is a closed 1-form. Hence,

$$\phi_{\tilde{X}}^1 : \Omega_{X^{(p)}/S}^1[-1] \rightarrow F_*\Omega_{X/S}^\bullet$$

yields the morphism from Cartier's theorem.

STEP 2: We can explicitly define

$$\begin{aligned}
\phi_{\tilde{X}}^i &: \Omega_{X^{(p)}/S}^i \rightarrow F_*\Omega_{X/S}^\bullet \\
\omega_1 \wedge \cdots \wedge \omega_i &\mapsto \phi_{\tilde{X}}^1(\omega_1) \wedge \cdots \wedge \phi_{\tilde{X}}^1(\omega_i)
\end{aligned}$$

PROBLEM: \tilde{F} typically doesn't exist globally, but only locally.

To solve this, we replace Step 1 by choosing an open cover $\mathcal{U} = \{U \subset X\}$ on which F admits a lift

$$\begin{array}{ccc}
F_*\hat{\mathcal{C}}(\mathcal{U}, \Omega_{X/S}^\bullet) & \xleftarrow[b]{\simeq} & F_*\Omega_{X/S}^\bullet \\
\uparrow a & & \\
\Omega_{X^{(p)}/S}^1[-1] & &
\end{array}$$

Where a is produced from local data coming from lifts of F in addition to carefully chosen homotopies on overlaps. b is known as the *Čech replacement* and is a quasi-isomorphism. Hence, if we pass to the derived category $\mathcal{D}(X^{(p)})$, we get a morphism

$$\Omega_{X^{(p)}/S}^1[-1] \rightarrow F_*\Omega_{X/S}^\bullet$$

Unfortunately Step 2 was element theoretic, and so also does not generalize. Instead, we take the diagram

⁶⁷ This is where all the additional assumptions come into play. In particular, the requirement of smoothness.

$$\begin{array}{ccc}
\left(\Omega_{X^{(p)}/S}^1[-1]\right)^{\otimes i} & \xrightarrow{\left(\phi_X^1\right)^{\otimes i}} & \left(F_*\Omega_{X/S}^\bullet\right)^{\otimes i} \\
a[-i] \uparrow & & \downarrow \\
\Omega_{X^{(p)}/S}^i & & F_*\Omega_{X/S}^\bullet
\end{array}$$

where a is the *antisymmetrization*⁶⁸

$$a(\omega_1 \wedge \cdots \wedge \omega_i) = \frac{1}{i!} \sum_{\sigma \in S_i} \text{sgn}(\sigma) \omega_{\sigma(1)} \otimes \cdots \otimes \omega_{\sigma(i)}$$

⁶⁸ Note that the use of $\frac{1}{i!}$ implicitly makes the assumption that $i < p$.

Tracing through this diagram then proves the theorem. \square

Corollary. *Suppose X is smooth and proper over k , with a lift \tilde{X} to $W_2(k)$ and $\dim X < p$. Then the Hodge-to-de Rham Spectral Sequence*

$$E_1^{a,b} = H^b(X, \Omega_{X/S}^a) \Rightarrow \mathbb{H}^{a+b}(\Omega_{X/S}^\bullet)$$

degenerates on page 1.

Proof. The proof is based on dimension counting.

$$\begin{aligned}
\mathbb{H}^m(X, \Omega_{X/S}^\bullet) &\cong \mathbb{H}^m(X^{(p)}, F_*\Omega_{X/S}^\bullet) \\
&\cong \bigoplus_{i>0} H^{m-i}(X^{(p)}, \underbrace{\Omega_{X^{(p)}/S}^i}_{\sigma^*\Omega_{X/S}^i})
\end{aligned}$$

where the second line follows from Deligne-Illusie.

We can then apply base change to get

$$\mathbb{H}^m(X, \Omega_{X/S}^\bullet) \cong \bigoplus_{i \geq 0} F_S^* H^{m-i}(X, \Omega_{X/S}^i)$$

but, since F_S^* is a field automorphism

$$\dim_k F_S^* H^{m-i}(X, \omega_{X/S}^i) = \dim_k H^{m-i}(X, \omega_{X/S}^i)$$

so that degeneration happens on page one. \square

The Conjugate Spectral Sequence.

Recall that there are two spectral sequences for hypercohomology.

Given a resolution:

$$\begin{array}{ccccc}
\mathcal{A}^{0,2} & \longrightarrow & \mathcal{A}^{1,2} & \longrightarrow & \mathcal{A}^{2,2} \\
\uparrow & & \uparrow & & \uparrow \\
\mathcal{A}^{0,1} & \longrightarrow & \mathcal{A}^{1,1} & \longrightarrow & \mathcal{A}^{2,1} \\
\uparrow & & \uparrow & & \uparrow \\
\mathcal{A}^{0,0} & \longrightarrow & \mathcal{A}^{1,0} & \longrightarrow & \mathcal{A}^{2,0}
\end{array}$$

$$\Omega_{X/S}^\bullet \quad \Theta_X \longrightarrow \Omega_{X/S}^1 \longrightarrow \Omega_{X/S}^2$$

One can take either the vertical or the horizontal filtration, leading to

I) Horizontal filtration gives us Hodge-to-de Rham

$$E_1^{a,b} H^b(X, \Omega_{X/S}^a) \Rightarrow \mathbb{H}^{a+b}(\Omega_{X/S})$$

II) Vertical filtration gives us the *Conjugate spectral sequence*

$$E_2^{a,b} = H^a(X, \mathcal{H}^b(\Omega_{X/S}^\bullet)) \Rightarrow \mathbb{H}^{a+b}(\Omega_{X/S}^\bullet)$$

Using Cartier's result, we have

$$\begin{aligned}
H^a(X, \mathcal{H}^b(\Omega_{X/S}^\bullet)) &\cong H^a(X^{(p)}, \mathcal{H}^b(F_*, \Omega_{X/S}^\bullet)) \\
&\cong H^a(X^{(p)}, \Omega_{X^{(p)}/S}^b) \\
&\cong F_S^* H^a(X, \Omega_{X/S}^b)
\end{aligned}$$

and, under suitable finiteness conditions, the degeneration of I) is equivalent to the degeneration of II).

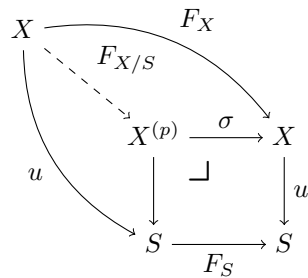
STRATEGY for approaching non-commutative geometry. (Kontsevich, Kaledin)

- Find a non-commutative analogue of the conjugate spectral sequence.
- Show it degenerates.
- Use this to conclude that the Hodge to de Rham spectral sequence degenerates for reasons of dimension.

Non-commutative Cartier Isomorphism, Part I

TOBIAS DYCKERHOFF

As we saw previously, in the commutative case, if X is a scheme that is smooth over $S = \text{Spec } k$ where $\text{char}(k) = p > 0$, k perfect, then we have the relative Frobenius



and the Cartier isomorphism

$$C^{-1} \Omega_{X^{(p)}/S}^i \xrightarrow{\text{cong}} \mathcal{H}^i(F_* \Omega_{X/S}^\bullet)$$

is an $\mathcal{O}_{X^{(p)}}$ -linear isomorphism determined by

1. $C^{-1}(f) = F^*(f) = f^p$
2. $C^{-1}(df) = \frac{F^*(df)}{p} = f^{p-1} df$
3. $C^{-1}(\omega \wedge \tau) = C^{-1}(\omega) \wedge C^{-1}(\tau)$

SPECIAL PHENOMENON IN CHARACTERISTIC $p > 0$ (*): Every function of the germ f^p is constant ($df^p = 0$)

GOAL: Let A be an associative k -algebra, A smooth over k , and let⁶⁹

$$A^{(p)} = A \otimes_k k$$

⁶⁹ Where the connecting morphism $k \rightarrow k$ is given by the Frobenius F .

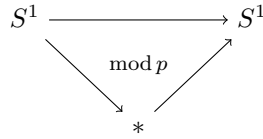
We then hope that, for $|u| = -2$ there is an isomorphism

$$HH_*(A^{(p)})(u) \xrightarrow{\cong} HP_*(A)$$

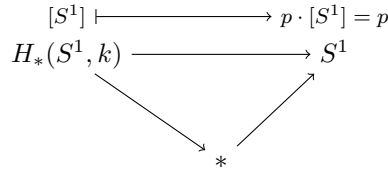
As we will see, there is an analogous special phenomenon to (*) in the non-commutative case. That is, $(*)_{\text{top}}$:

$$\begin{aligned} S^1 &\rightarrow S^1 \\ Z &\mapsto z^p \end{aligned}$$

is ‘constant modulo p ’ or ‘factors over the point modulo p ’ which can be expressed in a heuristic diagram as



Of course, this doesn’t make much sense until we explain what is meant by ‘modulo p ’. What we mean here is precisely that the diagram



commutes⁷⁰.

RECALL: A an associative unital algebra allows us to write down the *bar construction*⁷¹

$$C_\bullet(A) = A \xleftarrow{b'} \underbrace{A^{\otimes 2} \xleftarrow{b'} A^{\otimes 3} \xleftarrow{b'} \dots}_{A \otimes A^{op} \text{ free resolution}}$$

where the differential b' is given by⁷²

$$b'(a_0 \otimes \dots \otimes a_n) = \sum_{i=0}^{n-1} (-1)^i a_0 \otimes \dots \otimes a_i a_{i+1} \otimes \dots \otimes a_n$$

If we tensor the free resolution from the bar construction (over $A \otimes A^{op}$) by A , we get a new complex, the *cyclic bar construction*

$$A \xleftarrow{b} A^{\otimes 2} \xleftarrow{b} A^{\otimes 3} \xleftarrow{b} \dots$$

where the differential is given by⁷³

$$b(a_0 \otimes \dots \otimes a_n) = b'(a_0 \otimes \dots \otimes a_n) + (-1)^n a_n a_0 \otimes \dots \otimes a_{n-1}$$

In particular, we have the *Hochschild Homology*

$$HH_*(A) := H_*(C_\bullet(A)) \cong \text{Tor}_*^{A \otimes A^{op}}(A, A)$$

Alan Connes Mad the fundamental observation that

$$t(a_0 \otimes \dots \otimes a_n) = (-1)^n a_n \otimes a_0 \otimes \dots \otimes a_{n-1}$$

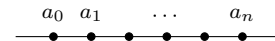
defines an action of $\mathbb{Z}/n\mathbb{Z}$ on the n -cells of the cyclic bar construction such that

$$C_\bullet(A) \xrightarrow{1-t} C_\bullet(A)$$

⁷⁰ This is not just an analogy. We will make explicit use of precisely this fact in the proof of a key lemma.

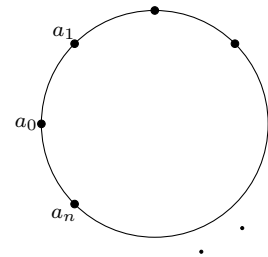
⁷¹ Throughout this talk, we will make use of the homological grading convention.

⁷² We can also view this differential pictorially as



The differential is just the sum over ‘contracting intervals’.

⁷³ Again, there is a pictorial representation. As before, the differential is given by a sum over contracted intervals, but now on the circle:



is a map of complexes, ie

$$(1 - t)b' = b(1 - t)$$

Therefore, the k -vector spaces

$$C_n^\lambda(A) = (C_n(A))_{\mathbb{Z}/(n+1)}$$

organize into a new complex called the *Connes Complex*.

Theorem (Connes). *Let A be commutative and smooth over k , where k has characteristic 0⁷⁴, then (for $X = \text{Spec } A$)*

$$HC_n^\lambda = \Omega_{A|k}^n / d\Omega_{A|k}^{n-1} \oplus H_{dR}^{n-2}(X) \oplus H_{dR}^{n-4}(X) \oplus \dots$$

Problem: This does not hold in characteristic p . Reason: the functor $(-)_{\mathbb{Z}/p\mathbb{Z}}$ is not exact in characteristic p , rather, we have lots of group homology⁷⁵.

To try and address this problem, we can consider the full⁷⁶ double complex

$$\begin{array}{ccccccc}
 & \vdots & & \vdots & & \vdots & \\
 & b \downarrow & & b' \downarrow & & b \downarrow & \\
 A^{\otimes 3} & \xleftarrow{1-t} & A^{\otimes 3} & \xleftarrow{N} & A^{\otimes 3} & \xleftarrow{1-t} & \dots \\
 & b \downarrow & & b' \downarrow & & b \downarrow & \\
 A^{\otimes 2} & \xleftarrow{1-t} & A^{\otimes 2} & \xleftarrow{N} & A^{\otimes 2} & \xleftarrow{1-t} & \dots \\
 & b \downarrow & & b' \downarrow & & b \downarrow & \\
 A & \xleftarrow{1-t} & A & \xleftarrow{N} & A & \xleftarrow{1-t} & \dots
 \end{array}$$

$C_\bullet(A) \qquad C'_\bullet(A)$

We call this the *cyclic bicomplex* $CC_{\bullet,\bullet}(A)$, and write

$$CC_\bullet(A) = \text{tot } CC_{\bullet,\bullet}(A)$$

We then have the *cyclic homology*

$$HC_\bullet(A) := H(CC_\bullet(A))$$

In characteristic 0, $HC_* \cong HC_*^\lambda$. Connes theorem holds verbatim in characteristic $P > 0$ if we replace HC_*^λ by HC_* ⁷⁷.

Observation. The fact that we have lots of group homology for $\mathbb{Z}/p\mathbb{Z}$ in characteristic p tells us that we have lots of deRham cohomology in characteristic p .

⁷⁴ This is significantly simpler than the B -operator/mixed complex picture precisely because it only works in characteristic 0.

⁷⁵ For example

$$H_i(\mathbb{Z}/p\mathbb{Z}, \mathbb{F}_p) \cong \mathbb{F}_p$$

for every $i \geq 0$.

⁷⁶ In defining $C_n^\lambda(A)$, we were, in effect, merely considering the first two rows.

⁷⁷ See the talks on the HKR theorem for more details.

Our strategy to move away from complexes and reach a broader definition and construction of the Cartier isomorphism will be to use simplicial methods:

- (1) The bar complex arises from a simplicial vector space

$$A^\Delta : \Delta^{op} \rightarrow \text{Vect}_k$$

$$[n] \mapsto A^{\otimes(n+1)}$$

whose simplicial structure is given by

$$\partial_i(a_0 \otimes \cdots \otimes a_n) = a_0 \otimes \cdots \otimes a_i a_{i+1} \otimes \cdots \otimes a_n$$

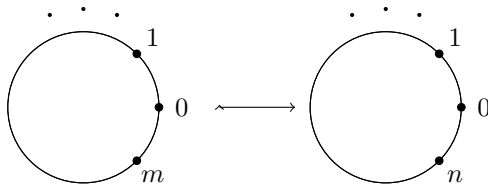
$$\sigma_i(a_0 \otimes \cdots \otimes a_n) = a_0 \otimes \cdots \otimes \underbrace{1}_i \otimes \cdots \otimes a_n$$

- (2) The cyclic symmetries of Connes can be captured in a lift of A^Δ to Connes/Tsygan's *cyclic category* Λ

$$\begin{array}{ccc} & \Lambda & \\ \Delta^{op} \nearrow & & \searrow A^\Delta \\ & A^\Delta & \text{Vect}_k \end{array}$$

The structure of the cyclic category is relatively straightforward.

- Like Δ , Λ has one object $\langle n \rangle$ for each $n \geq 0$.
- The morphism sets $\text{Hom}_\Lambda(\langle m \rangle, \langle n \rangle)$ are the sets of maps



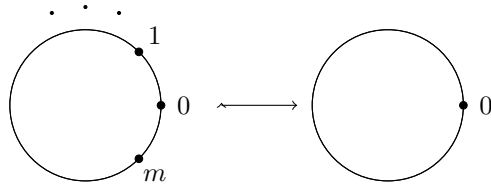
which are continuous, monotone, degree 1, and preserve the sets of marked points. Two such maps are considered equivalent if there is a homotopy through such maps between them.

So, can't we not just consider cyclic order preserving maps?

Example.

$$|\text{Hom}_\Lambda(\langle m \rangle, \langle 0 \rangle)| = m + 1$$

Considering the picture



we see that which segment we choose to collapse determines which morphism we are considering. In particular, Λ has no final object.

Fact. Every morphism in the cyclic category has a unique factorization $\sigma \circ \phi$ where

$$\phi \in \mathbb{Z}/(m+1) = \text{Aut}_\Lambda(\langle m \rangle)$$

and $\sigma \in \Delta$.

The subcategory of Λ consisting of those morphisms preserving 0 can be identified with Δ^{op} , giving us an inclusion

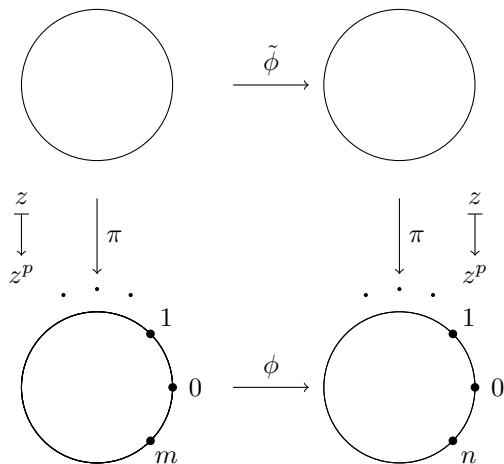
$$\Delta^{op} \hookrightarrow \Lambda$$

Definition. For every $p > 0$, there is a variant of Λ called the *p-cyclic category* Λ_p . It has morphisms

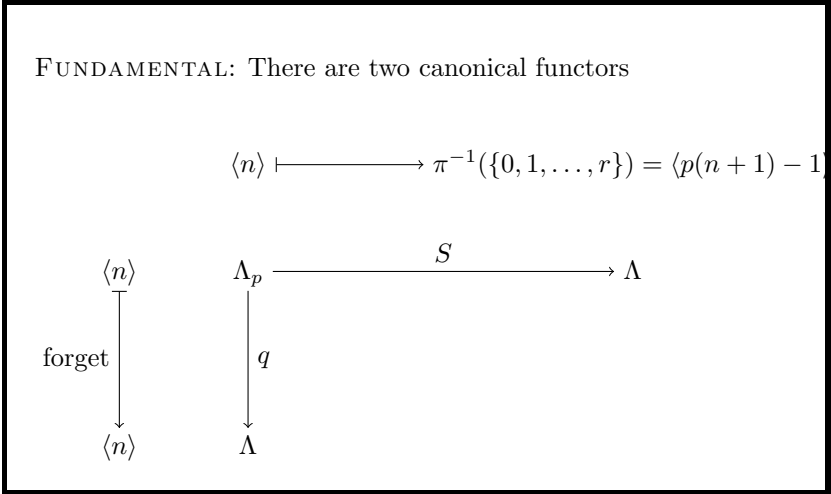
$$(\phi, \tilde{\phi}) \in \text{Hom}_{\Lambda_p}(\langle m \rangle, \langle n \rangle)$$

where $\phi \in \text{Hom}_\Lambda(\langle m \rangle, \langle n \rangle)$ and $\tilde{\phi}$ is a lift to the p -fold cover

Pictorially, we can represent such a morphism as



From this definition, then, we can think of Λ_p as something of a hybrid between Δ and $\mathbb{Z}/p(m+1)$.



KEY FACT:

$$|\Lambda| \simeq BS^1 \simeq BB\mathbb{Z} \simeq CP^\infty$$

and the same is true for Λ_p . Furthermore, the diagram above becomes, after applying $|-|$

$$\begin{array}{ccc}
 BS^1 & \xrightarrow{\simeq} & BS^1 \\
 B(z \mapsto z^p) \downarrow & & \downarrow \\
 BS^1 & & BS^1
 \end{array}$$

Proof. Apply Quillen’s Theorem B to the functor

$$\Delta^{op} \hookrightarrow \Lambda$$

Using the fiber diagram

$$\begin{array}{ccc}
 S^1 & \longrightarrow & |\Delta^{op}| \\
 \downarrow & & \downarrow \\
 * & \longrightarrow & |\Lambda|
 \end{array}$$

we then see the result. □

To see the relation to cyclic homology, consider the adjunction

$$\text{colim}_\Lambda : \text{Fun}(\Lambda, \text{Vect}_k) \leftrightarrow \text{Vect}_k : \text{const}$$

then the cyclic homology is given by⁷⁸

$$CC_\bullet(A) \simeq L \text{colim}_\Lambda(A^\Lambda) \in \mathcal{D}(\text{Vect}_k)$$

We can however, obtain a refined understanding of this colimit, using the machinery of Kan extensions.

⁷⁸ As an aside: if we do the same thing for the simplex category

$$\text{colim}_\Delta : \text{Fun}(\Delta^{op}, \text{Vect}_k) \leftrightarrow \text{Vect}_k : \text{const}$$

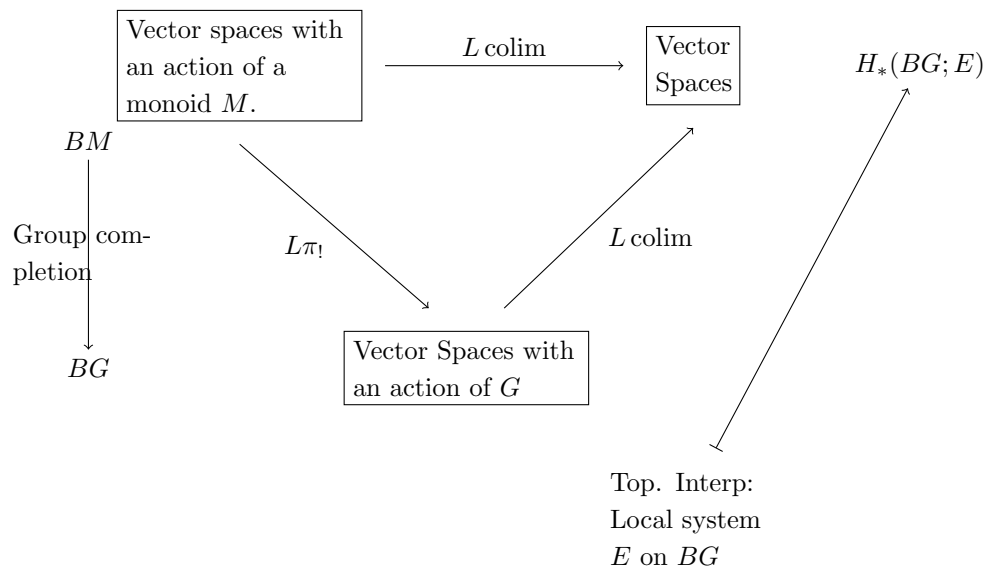
We get that

$$L \text{colim}_\Delta(X_\bullet)$$

is the complex associated with X via

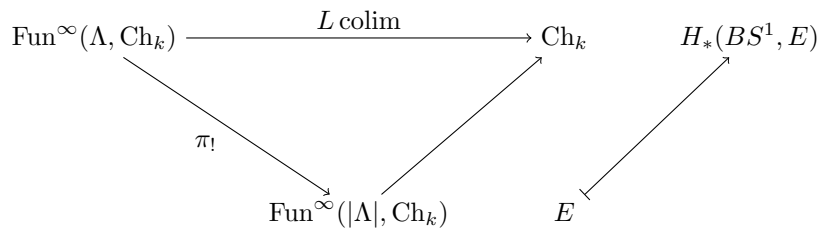
$$d = \sum (-1)^i d_i$$

A prototypical example of this sort of use of Kan extensions is the case of Vector spaces equipped with a monoid action:



In the case, for example $M = \mathbb{N}$, we then have $G = \mathbb{Z}$ and $BG = S^1$.

In our case, we want an infinity-categorical variant on this, so we take:



where we interpret $\text{Fun}^\infty(|\Lambda|, \text{Ch}_k)$ as “infinity-local systems of complexes” on $|\Lambda|$. This computation gives us

$$H_*(BS^1) = k[u^{-1}]$$

Non-commutative Cartier Isomorphism, Part II

TOBIAS DYCKERHOFF

We can refine our understanding of $L \operatorname{colim}_\Lambda(-)$ via Kan extensions:

$$\begin{array}{ccc}
 \operatorname{Fun}(N(\Lambda), N_{dg}(\operatorname{Ch}(k))) & \xrightarrow{\operatorname{colim}_\Lambda(-) := H_\bullet(\Lambda, -)} & N_{dg}(\operatorname{Ch}(k)) \\
 \searrow \pi_! & & \nearrow H_\bullet(\overbrace{|N(\Lambda)|}^{BS^1}, -) \\
 & \operatorname{Fun}(\operatorname{Sing} |N(\Lambda)|, N_{dg}(\operatorname{Ch}(k))) &
 \end{array}$$

Definition. An ∞ -category is a simplicial set $\mathcal{C} \in \operatorname{Set}_\Delta$ such that every inner horn $\Lambda_i^n \rightarrow \mathcal{C}$ ($0 < i < n$) has a filler $\Delta^n \rightarrow \mathcal{C}$.

Examples.

- (1) If \mathcal{C} is a category, then $N(\mathcal{C})$ is an ∞ -category (Every inner horn has a **unique** filler, in fact).
- (2) If X is a topological space, then $\operatorname{Sing} X$ is an ∞ -category (every horn has a filler, that is, $\operatorname{Sing} X$ is an ∞ -groupoid).
- (3) For $\mathcal{C} \in \operatorname{Cat}_{\operatorname{Top}}$, $N_{\operatorname{Top}}(\mathcal{C})$ is an infinity category.
- (4) For $\mathcal{C} \in \operatorname{Cat}_{dg}(k)$ a k -linear dg-category, N_{dg} is an infinity category.
- (5) Given $I \in \operatorname{Set}_\Delta$ and \mathcal{C} and ∞ -category, we can define the functor category to be the internal Hom

$$\operatorname{Fun}(I, \mathcal{C}) := \underline{\operatorname{Hom}}_{\operatorname{Set}_\Delta}(I, \mathcal{C})$$

which is, itself, an ∞ -category.

All of these constructions can be understood in terms of (Quillen) adjunctions, for example:

(2) We have an adjunction

$$|-| : \text{Set}_\Delta \leftrightarrow \text{Top} : \text{Sing}$$

For any simplicial set X , the map

$$X \rightarrow \text{Sing} |X|$$

is given by the counit of the adjunction, eg

$$\pi : N(\Lambda) \rightarrow \text{Sing} |N(\Lambda)|$$

(4) We have an adjunction

$$\begin{aligned} dg : \text{Set}_\Delta &\leftrightarrow \text{Cat}_{dg}(k) : N_{dg} \\ [2] &\mapsto dg[2] \end{aligned}$$

where, for a diagram

$$\begin{array}{ccc} & \bullet & \\ g \nearrow & & \searrow f \\ \bullet & \Downarrow H & \bullet \\ & \xrightarrow{h} & \bullet \end{array}$$

The dg category has $|f| = |g| = |h| = 0$ are cycles, and has $|H| = 1$ with

$$dH = f \circ g - h$$

Definition. If X is a topological space, then⁷⁹

$$\mathcal{L}oc(X, k) := \text{Fun}(\text{Sing } X, N_{dg}(\text{Ch}(k)))$$

is called the ∞ -category of ∞ -local systems on X with values in $\text{Ch}(k)$.

Via (4), if X is a connected topological space, we have, in some sense⁸⁰, a quasi-isomorphism

$$\text{Fun}_\infty(\text{Sing } X, N_{dg}(\text{Ch}(k))) \simeq \text{Fun}_{dg}(dg \text{Sing } X, \text{Ch}(k))$$

We can also compute that

$$dg(\text{Sing}(X)) \simeq C_*(\Omega_x X, , k)$$

the differential graded algebra of singular chains.

Examples.

⁷⁹ Often, in the notation that follows, we will drop the k when it is clear what field we are working over.

⁸⁰ To make this rigorous, we need to be quite careful. We are working with **Quillen** adjunctions, so in some sense the proper functor categories to consider are those defined via bimodules.

(1) Let $X = BG$ where G is a discrete group. Then

$$C_*(\Omega_x X, k) \simeq kG$$

so that

$$\mathcal{L}oc(X, k) \simeq \mathcal{D}(\text{Mod}_{kG})$$

(2) Let $X = BS^1$. Then

$$C_*(\Omega_x BS^1, k) \simeq k[\epsilon]$$

where $|\epsilon| = 1$ and $\epsilon^2 = 0$. Then we have

$$\mathcal{L}oc(BS^1, k) \simeq \mathcal{D}(\text{Mod}_{k[\epsilon]})$$

To relate this to cyclic homology, consider the diagram

$$\begin{array}{ccc}
 N(\Delta^{op}) & \xrightarrow{j} & N(\Lambda) \\
 \downarrow r & \lrcorner & \downarrow q \\
 \text{Sing } |N(\Delta^{op})| & \xrightarrow{i} & \text{Sing } |N(\Lambda)| \\
 \wr \downarrow & & \wr \downarrow \\
 \text{pt} & & BS^1
 \end{array}$$

This is a pullback diagram of infinity categories⁸¹.

In this context we have a notion of base change: for

$$E \in \text{Fun}(N(\Lambda), N_{dg}(\text{Ch}(k)))$$

we have that

$$i^* \pi_! \simeq r_! J^* E$$

Therefore, we have an object

$$\pi_! A^\Lambda \in \mathcal{L}oc(BS^1)$$

with

$$i^* \pi_! A^\Lambda \simeq r_! \underbrace{j^* A^\Lambda}_{A^\Delta} \simeq C_\bullet(A)$$

To illustrate how this perspective is natural, we take the example of a mixed complex. Let V be a vector space over k with an action of $\langle t \rangle = \mathbb{Z}/p\mathbb{Z}$, then we get a complex

$$V_1 \xrightarrow{1-t} V_0$$

This complex has a $k[\epsilon]$ -structure (a mixed complex structure) given by the diagram

⁸¹ As argued in the previous lecture, this result follows by first noting that Quillen's Theorem B implies that i is a fibration.

$$\begin{array}{ccccc}
 V & \xrightarrow{1-t} & V & \longrightarrow & 0 \\
 \downarrow & & \downarrow N & & \downarrow \\
 0 & \longrightarrow & V & \xrightarrow{1-t} & V
 \end{array}$$

where

$$N = \sum_{i=0}^{p-1} t^i$$

The topological explanation is that, taking

$$B(\mathbb{Z}/p\mathbb{Z}) \xrightarrow{i} BS^1$$

We can consider

$$V \in \mathcal{L}oc(B\mathbb{Z}/p\mathbb{Z}) \xrightarrow{i_!} \mathcal{L}oc(BS^1) \xrightarrow{\pi_!} \mathcal{L}oc(pt)$$

Exercise. Check that $i_!V$ yields the constructed $k[\epsilon]$ -module.

Now that we have dealt with the background, we can return to the non-commutative Cartier isomorphism

PROOF STRATEGY

We can consider the diagram

$$\begin{array}{ccc}
 \langle n \rangle & \xrightarrow{\quad} & \pi^{-1}(\{0, 1, \dots, r\}) = \langle p(n+1) - 1 \rangle \\
 \\
 \begin{array}{ccc}
 \langle n \rangle & & \Lambda_p \\
 \text{forget} \downarrow & & \downarrow q \\
 \langle n \rangle & & \Lambda
 \end{array} & \xrightarrow{s} & \Lambda
 \end{array}$$

from last lecture. Under geometric realization, as we remarked, it leads to

$$\begin{array}{ccc}
 BS^1 & \xrightarrow{\cong} & BS^1 \\
 B(z \mapsto z^p) \downarrow & & \\
 BS^1 & &
 \end{array}$$

Let A be an associative k -algebra

Step ① Show that, for the map s above⁸²

$$H_*(\Lambda_p, S^* A^\Lambda) \simeq CC_*(A)$$

Step ② In char $k = p > 0$, show that we have a quasi-isomorphism

$$H_*(\Lambda_p, q^* A^\Lambda) \simeq (C_*[u^{-1}], b)$$

Step ③ Construct a map

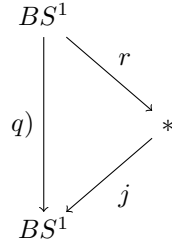
$$q^*(A^{(p)})^\Lambda \rightarrow s^* A^\Lambda$$

which induces an equivalence

$$\underbrace{\lim_{\leftarrow u} H_* \left(\Lambda_p, q^*(A^{(p)})^\Lambda \right)}_{C_*(A^{(p)})((u))} \xrightarrow{\simeq} \underbrace{\lim_{\leftarrow u} H_* \left(\Lambda_p, s^* A^\Lambda \right)}_{CP_*(A) = (C_*(A), b+uB)}$$

The proof of Step ② is based on the following:

Let $E \in \mathcal{L}oc(BS^1, k)$, char $k = p > 0$, and consider the diagram (*)



which commutes “modulo p ” in the sense of the previous talk.

We then claim that

$$q! \simeq j! \circ r!$$

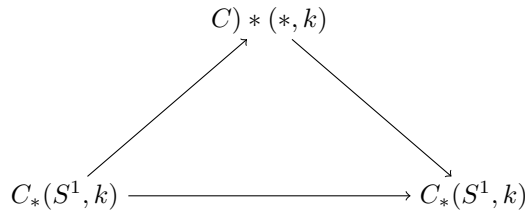
Why is this true? We can check the adjoint statement

$$q^* \simeq r^* \circ j^*$$

Considering

$$\mathcal{L}oc(BS^1) \xrightarrow{q_*} \mathcal{L}oc(BS^1)$$

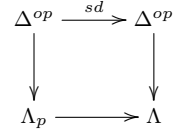
If we restrict along this map, we get the commutative diagram



⁸² Where, for example,

$$s^* A^\Lambda((n)) = A^{\otimes p(n+1)}$$

This step is actually relatively easy to show. We consider the diagram



where sd is given by

$$[n] \mapsto \overbrace{[n] * \cdots * [n]}^p$$

Then apply the usual tricks to show that we have a pullback square, and thus a weak equivalence.

which proves the claim.

Then we can make the computation⁸³

$$\begin{aligned}
H_*(BS^1, q^*E) &\stackrel{K}{\simeq} H_*(BS^1, q_!q^*E) \\
&\stackrel{P}{\simeq} H_*(BS^1, E \otimes q_!k) \\
&\stackrel{(*)}{\simeq} H_*(BS^1, E \otimes \overbrace{j_!H_*(BS^1, k)}^{r_!k}) \\
&\stackrel{P}{\simeq} H_*(BS^1, j_!(j^*E \otimes H_*(BS^1, k))) \\
&\simeq j^*E \otimes H_*(BS^1, k) \\
&\simeq C_*(A) \otimes k[u^{-1}]
\end{aligned}$$

To show Step ③, we need to find a non-commutative analogue of the Frobenius.

$$\begin{aligned}
F : A^{(p)} &\rightarrow A^{\otimes p} \\
&\text{“}a \mapsto \underbrace{\otimes \cdots \otimes a}_p\text{”}
\end{aligned}$$

This doesn't make sense, so instead we consider the necessary equivariance

$$\mathbb{Z}/p \circlearrowleft A^{(p)} \rightarrow A^{\otimes p} \circlearrowleft \mathbb{Z}/p \quad (*)$$

and the morphism on Tate homology

$$\check{H}_*\left(\mathbb{Z}/p\mathbb{Z}, A^{(p)}\right) \xrightarrow{\cong} \check{H}_*\left(\mathbb{Z}/p\mathbb{Z}, A^{\otimes p}\right) \quad (**)$$

We therefore want a morphism (*) inducing (**). If we assume that such a morphism exists, the proof follows.

PROBLEM: Such a morphism basically never exists.

⁸³ Where the quasi-isomorphism marked with a K follows from the functoriality of the Kan extension, that marked with $(*)$ follows from the diagram $(*)$, and those marked with a P follow from the projection formula.

Non-commutative Cartier Isomorphism, Part III

THOMAS POGUNTKE

Let k be a perfect field of characteristic p , and A a smooth k -algebra. We want to construct a (non-commutative inverse Cartier) isomorphism

$$HH_*(A^{(p)})(u) \rightarrow HP_*(A)$$

Last time, we saw that we expect this to be induced by a map of p -cyclic objects⁸⁴

$$q^*(A^{(p)})^\Lambda \rightarrow s^* A^\Lambda$$

In a (very) special case, the desired map of p -cyclic objects will be $(-)_*$ applied to some ‘NC-frobenius’ map

$$\begin{aligned} A^{(p)} &\rightarrow A^{\otimes p} \\ a &\mapsto a^{\text{otimes } p}, \end{aligned}$$

which is \mathbb{Z}/p -equivariant, and induces an isomorphism on Tate homology.

Lemma. *For any vector space W , the map*

$$W^{(p)} \cong \hat{H}_\bullet(\mathbb{Z}/p, W^{(p)}) \rightarrow \hat{H}_\bullet(\mathbb{Z}/p, W^{\otimes p})$$

given by

$$a \mapsto a^{\otimes p}$$

is an isomorphism. In particular, it is additive.

Proof. Cyclic groups have cyclic Tate homology with differentials

$$d_i : M \rightarrow M$$

where M is a \mathbb{Z}/p -module, given by

$$d_i = \begin{cases} 1 - \sigma & i \text{ odd} \\ 1 + \sigma + \cdots + \sigma^{p-1} & i \text{ even} \end{cases}$$

Now, choose a basis I of $W = kI$. Then⁸⁵

⁸⁴ Where, as before, $q : \Lambda_p \rightarrow \Lambda$ forgets the lift to the p -fold cover of the circle, and $s : \Lambda_p \rightarrow \Lambda$ sends $\langle n \rangle \mapsto \pi^{-1}\langle n \rangle$.

⁸⁵ This equality is \mathbb{Z}/p -equivariant.

$$W^{\otimes p} = k\Delta \oplus k(I^{\times p} \setminus \Delta)$$

Where \mathbb{Z}/p acts on Δ trivially and \mathbb{Z}/p acts on $I^{\times p} \setminus \Delta$ freely. Therefore, we can decompose the homology into⁸⁶

$$\hat{H}_i(\mathbb{Z}/p, W^{\otimes p}) \cong \underbrace{\hat{H}_i(\mathbb{Z}/p, k\Delta)}_{\cong W} \oplus \underbrace{\hat{H}_i(\mathbb{Z}/p, k(I^{\times p} \setminus \Delta))}_{=0}$$

□

Now assume A is commutative. $\text{Spec}(A)$ is a commutative group scheme (a cocommutative Hopf algebra)⁸⁷. Then

$$V : A \xrightarrow{c^p} (A^{\otimes p})^{\mathbb{Z}/p} \rightarrow (A^{\otimes p})^{\mathbb{Z}/p}/d_1(A^{\otimes p}) \cong A^{(p)}$$

is called the *Verschiebung* which is, in fact, an algebra homomorphism⁸⁸.

Then

$$\begin{array}{ccccc} A & \xrightarrow{V} & A^{(p)} & \xrightarrow{F} & A \\ & \searrow c & \downarrow \exists \\ & & A^{\otimes p} & \nearrow \text{mult} & \end{array}$$

ie $F \circ V = p \circ id_A$. That is, V is an isomorphism if and only if $\text{Spec}(A) \subseteq \mathbb{G}_m^N$ is a subgroup of the multiplicative group, which itself holds if and only if $A \cong kG$ over a separable extension (where G is commutative, although the ‘NC-frobenius’ exists for any G)⁸⁹.

Now let $k = \mathbb{F}_p$, and A perfect. Then there exists a p -adically complete ring $W(A)$ together with a residue isomorphism

$$W(a) \twoheadrightarrow W(A)/pW(A) \cong A$$

Moreover, the Frobenius on A lifts to $F : W(A) \rightarrow W(A)$, and induces

$$F : W(A)/p^n W(A) =: W_n(A) \rightarrow W_{n+1}(A)$$

Additionally, there is another map

$$V : W_{n-1}(A) \rightarrow W(A)$$

for any n such that $FV = p \circ id_{W(A)} = VF$.

Finally, there exists a Teichmüller map (which is multiplicative)

$$A \rightarrow W(A)$$

$W(A)$ is the collection of *Witt vectors*, reminiscent of

$$\mathbb{Z}_p = W(\mathbb{F}_p)$$

where, in $\mathbb{Z}_p[X]$ ⁹⁰

$$Z^p - X = \prod_{a \in \mathbb{F}_p} (X - [a])$$

⁸⁶ This is a morphism of vector spaces. It is not at all clear that it lifts to an additive algebra morphism $A^{(p)} \rightarrow A^{\otimes p}$ for an algebra A .

⁸⁷ ie there exists an algebra homomorphism

$$\begin{array}{ccc} A & \xrightarrow{c} & A \otimes A \\ & \searrow & \nearrow \\ & & (A^{\otimes 2})^{\mathbb{Z}/2} \end{array}$$

⁸⁸ The map

$$(A^{\otimes p})^{S_p}/d_1(A^{\otimes p}) \cong A^{(p)}$$

is clearly an algebra isomorphism, with inverse

$$a \mapsto a^{\otimes p}$$

so that

$$(a+b)^{\otimes p} = \sum_{r=0}^p \binom{p}{r} a^{\otimes r} b^{\otimes (p-r)}$$

in symmetric tensors.

⁸⁹ In this case,

$$\begin{array}{l} V : kG \rightarrow kG^p \\ g \mapsto g \otimes 1 \end{array}$$

so

$$\begin{array}{l} kG^{(p)} \rightarrow k[G^{\times p}] \\ g \mapsto \underbrace{(g, \dots, g)}_p \end{array}$$

⁹⁰ Hensel's Lemma.

Construction

Consider $A = (A, \cdot)$ (A commutative) as a multiplicative monoid. The Teichmüller map should be

$$\approx \left(\begin{array}{c} A \rightarrow \mathbb{Z}A \\ a \mapsto [a] \end{array} \right)$$

There is a Frobenius lift

$$[a] \mapsto [a^p]$$

and augmentation sequence

$$0 \rightarrow I \rightarrow \mathbb{Z}A \rightarrow A \rightarrow 0$$

where

$$I = \text{span}([a + b] - [a] - [b])$$

so that

$$W(a) = \varprojlim \mathbb{Z}A/I^n$$

is the I -adic completion. It remains to show p -adic completeness.

If $F(I) = I$, the Frobenius descends, and Teichmüller yields

$$A \hookrightarrow \mathbb{Z}A \twoheadrightarrow W(A)$$

In this case, F is an isomorphism, so we can just set $V = pF^{-1}$.⁹¹

Proof Sketch of p -adic completeness. There is a short exact sequence⁹²

$$0 \rightarrow p^{-1}I^n/I^n \rightarrow \mathbb{Z}A/I^n \xrightarrow{p} I/I^n \rightarrow 0$$

The transition maps

$$p^{-1}(I^n)/I^n \rightarrow p^{-1}(I^{n-1})/I^{n-1}$$

are trivial, ie if $p \cdot x \in I^n$ then $x \in I^{n-1}$.

For this case, we can define a ‘derivation’

$$\begin{aligned} \delta : \mathbb{Z}A &\rightarrow \mathbb{Z}A \\ z &\mapsto p^{-1}(F(z) - z^p) \end{aligned}$$

Then

$$\delta(x + y) \stackrel{(*)}{=} \delta(x) + \delta(y) - \sum_{r=1}^{p-1} p^{-1} \binom{p}{r} x^r y^{p-r}$$

and⁹³

$$\delta(xy) = \delta(x)F(y) + x^p\delta(y)$$

This implies that

$$\delta(x_1 \cdots x_n) \stackrel{(**)}{=} \sum_{r=1}^{p-1} F(x_{r+1}) \cdots F(x_n)$$

⁹¹ In fact, $W_n(A) \cong \mathbb{Z}A/I^n$

⁹² This is because $x \in I$ implies that

$$F(x) = x^p \text{ mod } p\mathbb{Z}A$$

and hence

$$x \equiv F^{-n}(x)^{p^n} \text{ mod } p\mathbb{Z}A$$

for any n . Therefore

$$I = I^n + p\mathbb{Z}A$$

⁹³ Note also that

$$\delta([a]) = 0$$

which, in turn, implies

$$\delta(I^n) \subseteq I^{n-1}$$

by (*).

Thus, for $px \in I^n$ as above, $\delta(px) \in I^{n-1}$ and

$$\delta(px) \stackrel{\text{def}}{=} F(x) - p^{p-1}x^p \equiv F(x) \pmod{I^n}$$

and hence, $F(x) \in I^{n-1}$ as well. Since F is an automorphism of I , we get

$$x \in I^{n-1}$$

Therefore, in fact,

$$\lim_{\leftarrow} (p^{-1}I^n / I^n) = 0$$

and so p is injective on $W(A)$, with

$$p \cdot W(A) = \lim_{\leftarrow} I / I^n \subset W(a)$$

with respect to which $W(A)$ is complete. □

Remark. If A is commutative,

$$W_n(A) \cong \pi_0 \left(\text{THH}(A)^{\mathbb{Z}/p^{n-1}} \right)$$

Witt's Original Construction

Example. Consider $W_2(A) \xrightarrow{\sim} A^2$ (with ring structure to be defined) given by

$$x \mapsto (\bar{x}, \delta(x))$$

where \bar{x} denotes the image of x under

$$W_2(A) \twoheadrightarrow A$$

Namely, on the RHS,

$$(x_0, x_1) + (y_0, y_1) = \left(x_0 + y_0, x_1 + y_1 - \sum_{r=1}^{p-1} \frac{1}{p} \binom{p}{r} x_0^r y_0^{p-r} \right)$$

and

$$(x_0, x_1)(y_0, y_1) = (x_0 y_0, y_0^p x_1 + y_1 x_0^p - p x_1 y_1)$$

So where does this come from? We can think of $W(a) = \prod A$ as power series in p , and then define addition.

Question. If

$$\sum_{n \geq 0} [a_n] p^n + \sum_{n \geq 0} [b_n] p^n = \sum_{n \geq 0} [c_n] p^n$$

what is $[c_n]$?

INCOMPLETE

Non-commutative Cartier Isomorphism, Part VI

TOBIAS DYCKERHOFF

Recall. We are trying to construct

$$C^{-1} : HH_*(A)((u)) \xrightarrow{\cong} HP_*(A)$$

for A smooth over k , a field a characteristic $p > 0$

The perspective on C^{-1} from p -adic Hodge theory:

Definition. Let k be perfect of characteristic $p > 0$. A *filtered Dierdonné module* over $W(k)$ consists of

- A $W(k)$ -module M
- A decreasing filtration

$$\{F^i M \mid i \in \mathbb{Z}\}$$

with

$$\bigcap F^i M = 0, \quad \bigcup F^i M = M$$

- Frobenius-semilinear maps

$$\phi_i : F^i M \rightarrow M$$

satisfying

- (1) $\phi_i|_{F^{i+1}M} = p \cdot \phi_{i+1}$
- (2) The sequence

$$0 \rightarrow \bigoplus F^i M \xrightarrow{t-p \cdot id} \bigoplus F^i M \xrightarrow{\sum \phi_i} M \rightarrow 0$$

is exact.

Note. If M is annihilated by p , then condition (2) says

$$gr_F^\bullet M \xrightarrow[\cong]{\sum \phi_i} M$$

Example. Let X be a smooth variety over $W(k)$ with $\dim(X) < p$. Then each $H^n(\Omega_{X/W(k)}^\bullet)$ carries a filtered Dierdonné module. Reduction modulo p yields the isomorphism

$$gr_F^\bullet H_{dR}^n(X_k) \xrightarrow{\cong} H_{dR}^n(X_k)$$

showing the degeneration of the Hodge-to-de Rham Spectral sequence⁹⁴.

⁹⁴ Construction due to Faltings.

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